



# Formal Methods for the Control of Large-scale Networked Nonlinear Systems with Logic Specifications



Basilica di Santa Maria di Collemaggio, 1287, L'Aquila

**Lecture L12:  
Symbolic models  
and control for  
networked  
control systems**

**Speaker: Alessandro Borri**

# What's new?

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In this lecture we will remove the ideality assumption placed on the communication infrastructure conveying information between the plant and the controller

Tools:

- $\delta$ -GAS,  $\delta$ -FC
- Alternating approximate (bi)simulation

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**Lecture based on:**

[Borri et al., HSCC12] Borri, A., Pola, G., Di Benedetto, M.D., A symbolic approach to the design of nonlinear networked control systems, Hybrid Systems: Computation and Control 2012, Beijing, China, April 2012, pp. 255-264, I. Mitchell and T. Dang, Eds.

[Borri et al., CDC12] Borri, A., Pola, G., Di Benedetto, M.D., Integrated Symbolic Design of Unstable Nonlinear Networked Control Systems, 51st IEEE Conference on Decision and Control, Maui, Hawaii, USA, December 2012, pp. 1374-1379

# Networked control systems

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- Networked Control Systems (NCS) are spatially distributed systems where the communication among plants, sensors, actuators and controllers occurs in a shared communication network
- At present, most of the results concerning NCS focus on stability and stabilizability problems
- Results available in the literature vary depending on the class of systems considered (linear vs. nonlinear), controllers synthesized (continuous vs. digital), and assumptions on the network non-idealities

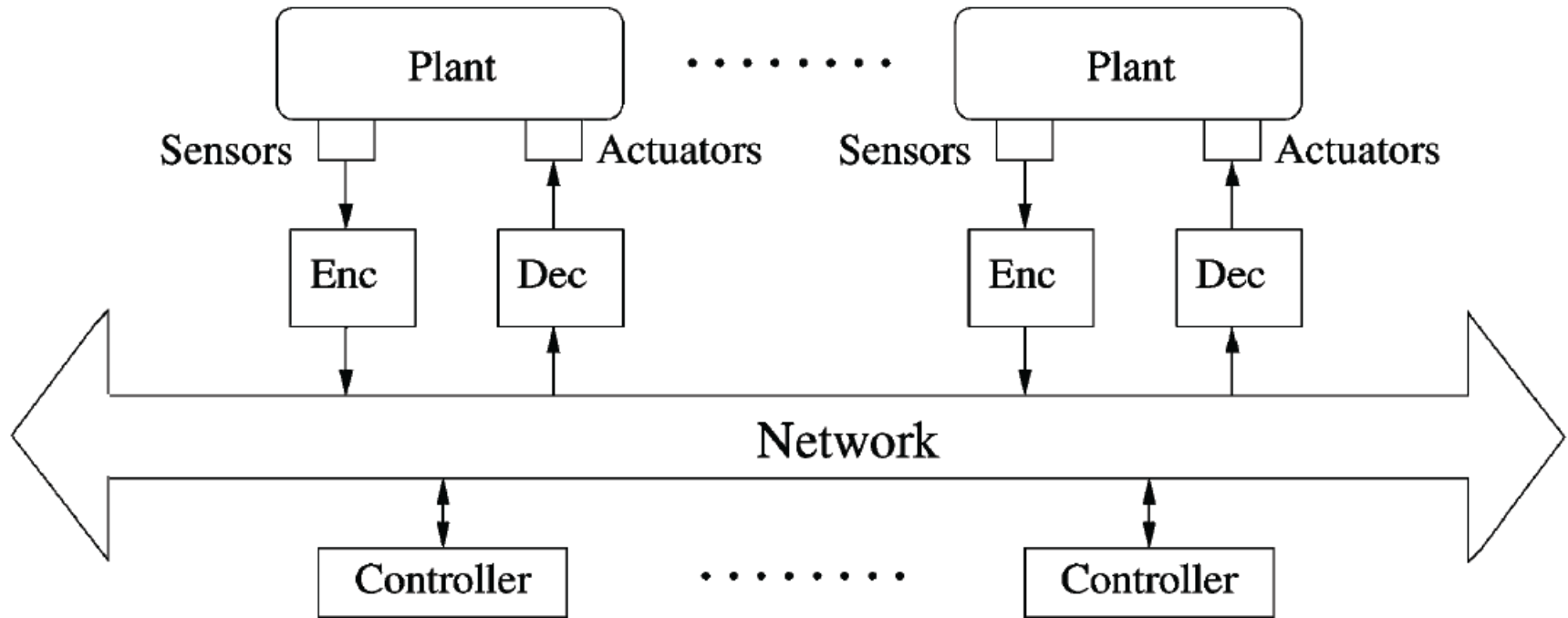


## Symbolic Control Design of Nonlinear Networked Control Systems

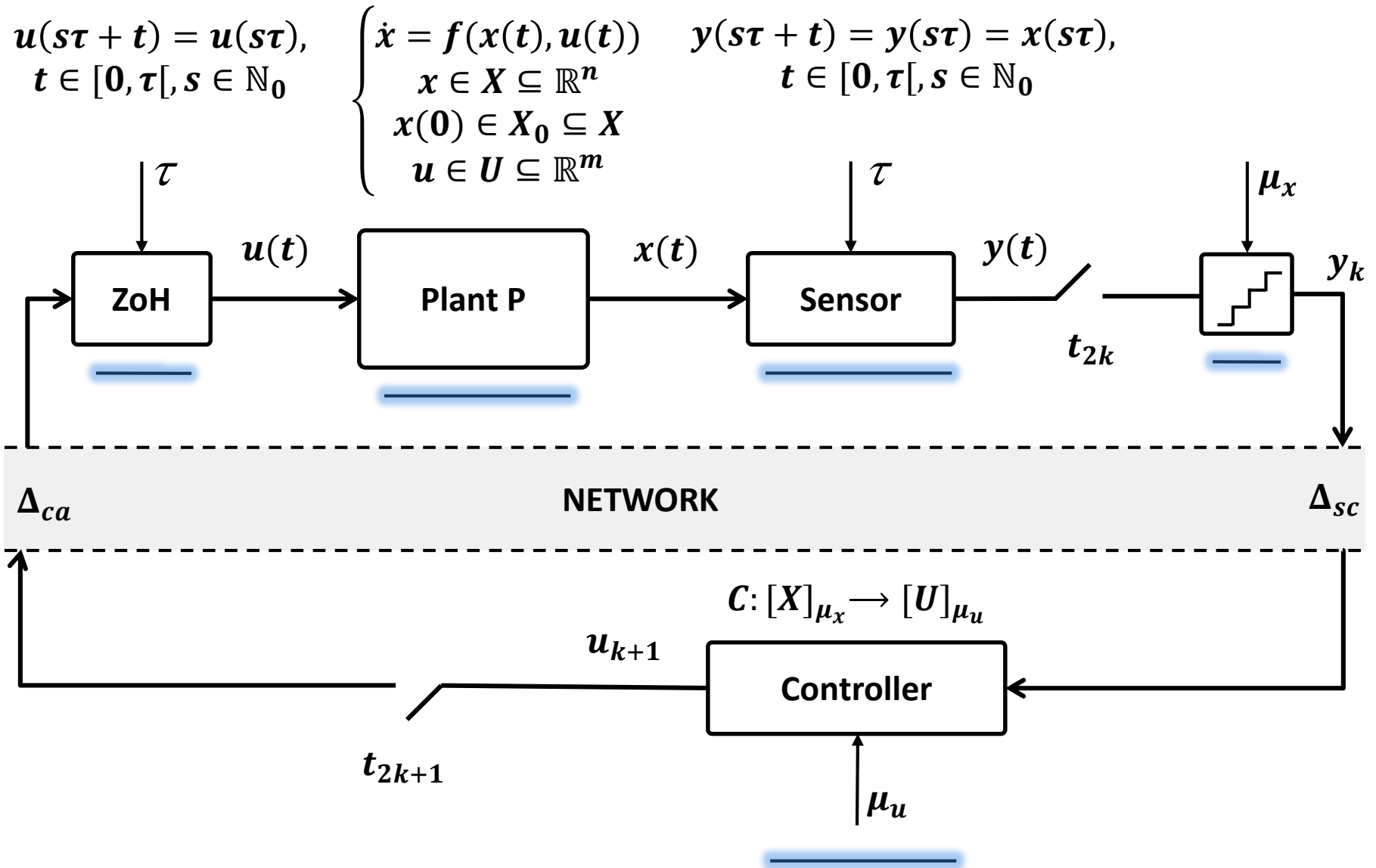
- Mathematical model of nonlinear NCS
- Symbolic models for stable and unstable NCS
- Symbolic control design of NCS
- Efficient control design algorithms

# Networked control systems: Our model

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# Networked control systems: Our model



# Networked control systems: Our model

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Network non-idealities: quantization, packet drops, variable delays

(e.g. [Andersson, IEEE-CDC-05], [Antsaklis, IEEE-TAC-04],  
[Heemels, IEEE-TAC-10], [Hespanha, Proc. IEEE-07], [Murray, SMTNS-06])

Network and computing non-idealities in our model:

- Quantization errors
- Bounded time-varying network access times
- Bounded time-varying communication delays induced by the network
- Bounded time-varying computation time of computing units
- Limited bandwidth
- Bounded packet losses

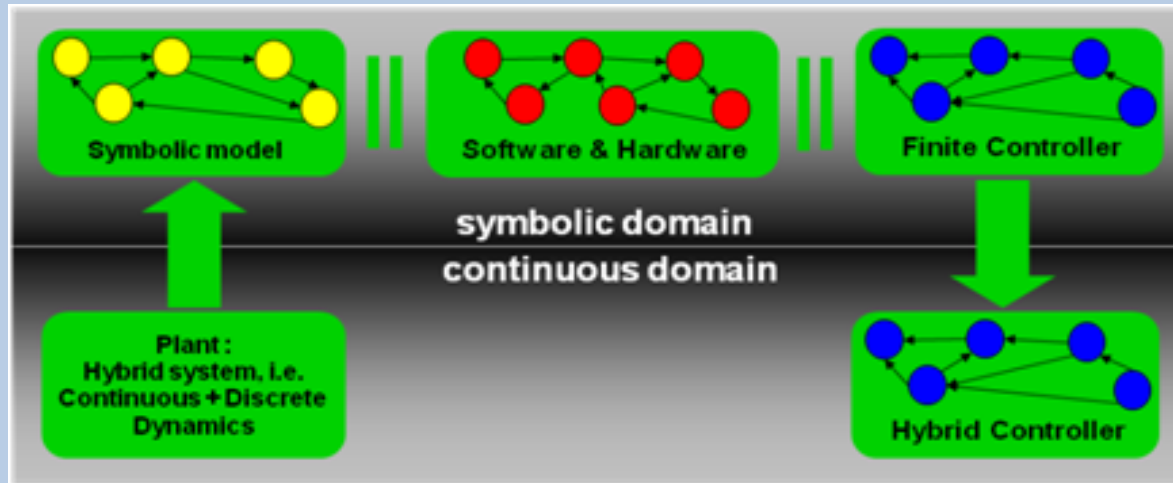


# Correct-by-design controller synthesis

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... a three-step process:

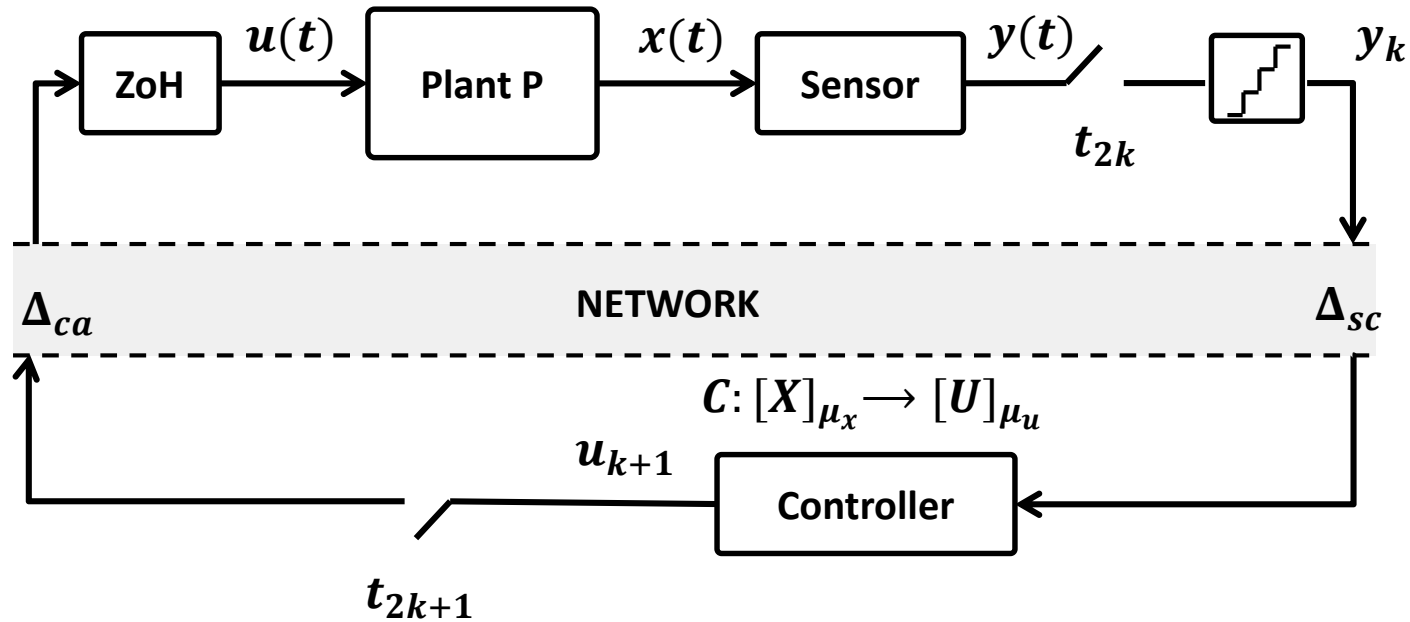
1. Construct the finite/symbolic model  $T$  of the plant system  $\Sigma$
2. Design a finite/symbolic controller  $C$  that solves the specification  $S$  for  $T$
3. Refine the controller  $C$  to obtain controller  $C'$  for  $\Sigma$





# Dealing with heterogeneity

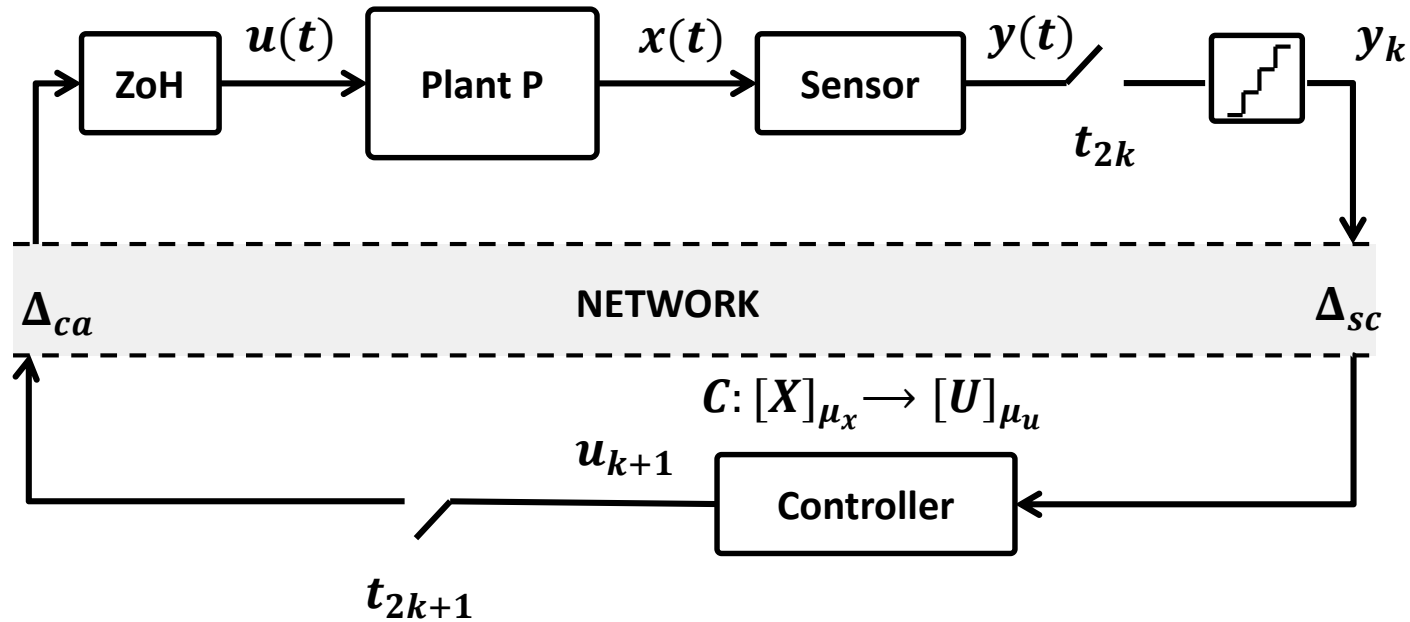
## Nonlinear Networked control systems as TSs



t	0	$\tau$	$2\tau$	$3\tau$	$4\tau$	$5\tau$	$6\tau$	$7\tau$	$8\tau$	$9\tau$	...
u	0	0	0	$u_1$	$u_1$	$u_1$	$u_1$	$u_1$	$u_1$	$u_2$	...
x	$x(0)$	$x(\tau)$	$x(2\tau)$	$x(3\tau)$	$x(4\tau)$	$x(5\tau)$	$x(6\tau)$	$x(7\tau)$	$x(8\tau)$	$x(9\tau)$	...
	$N_1 = 4$				$N_2 = 6$						...

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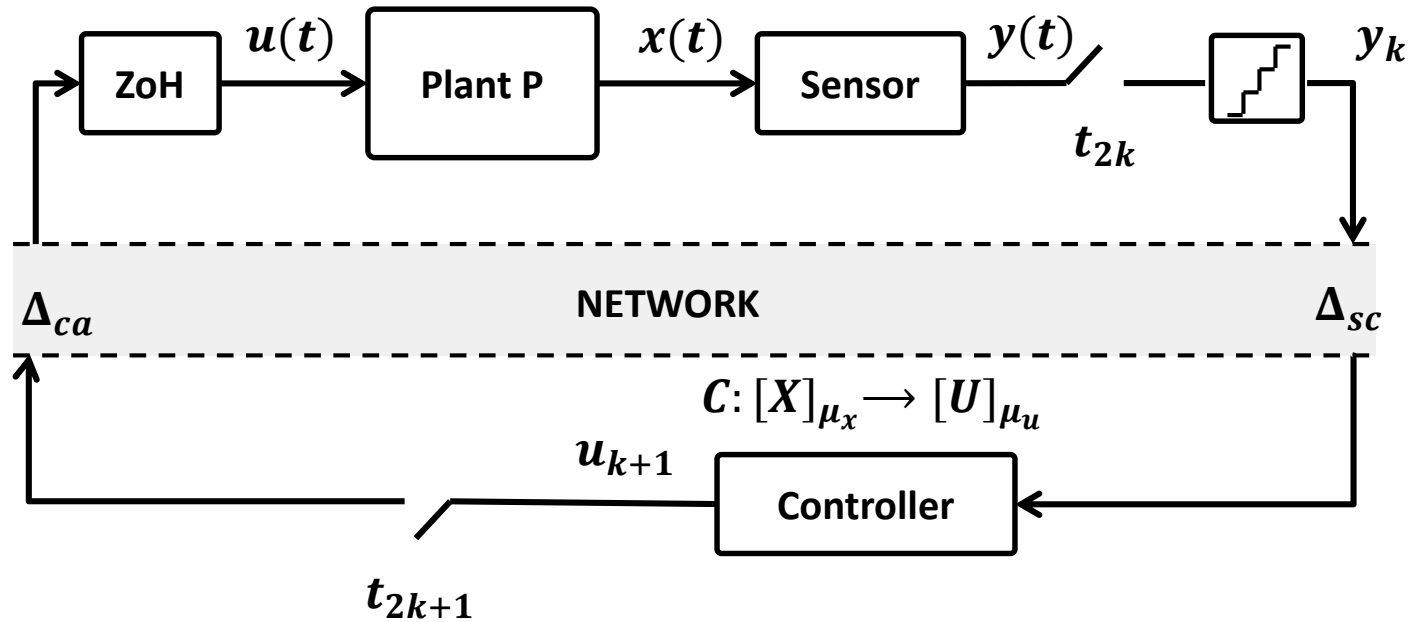
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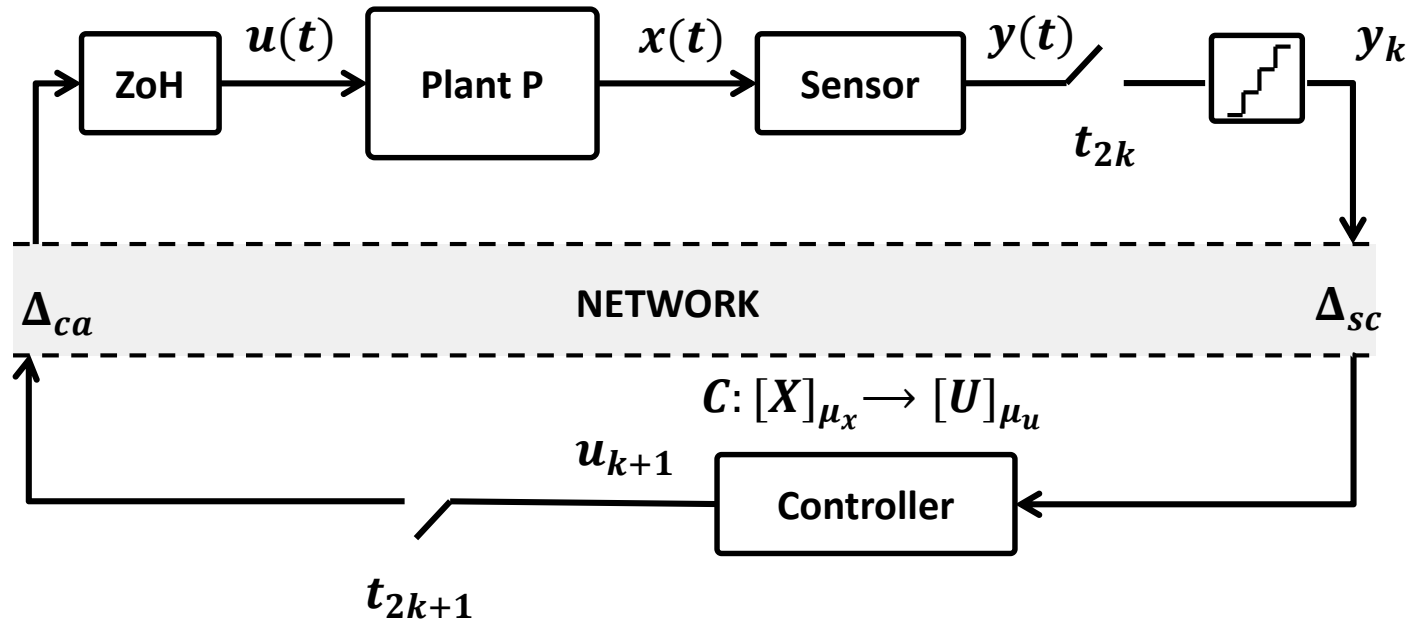
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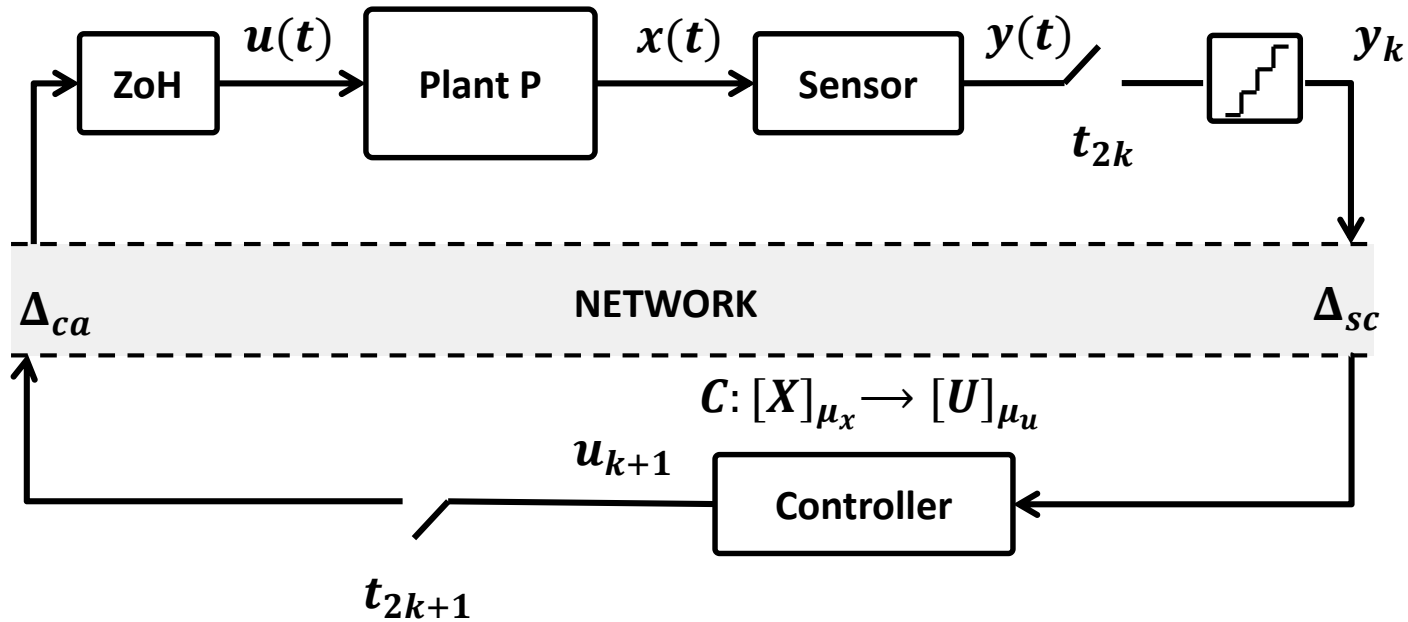
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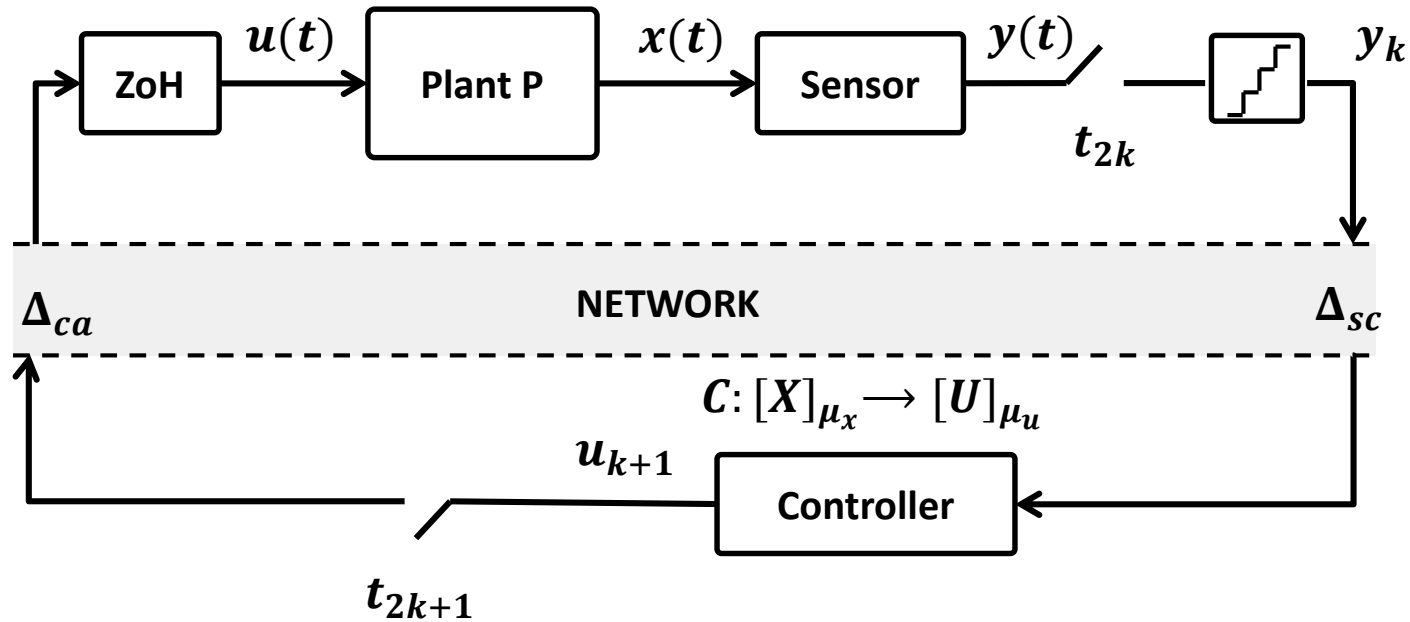
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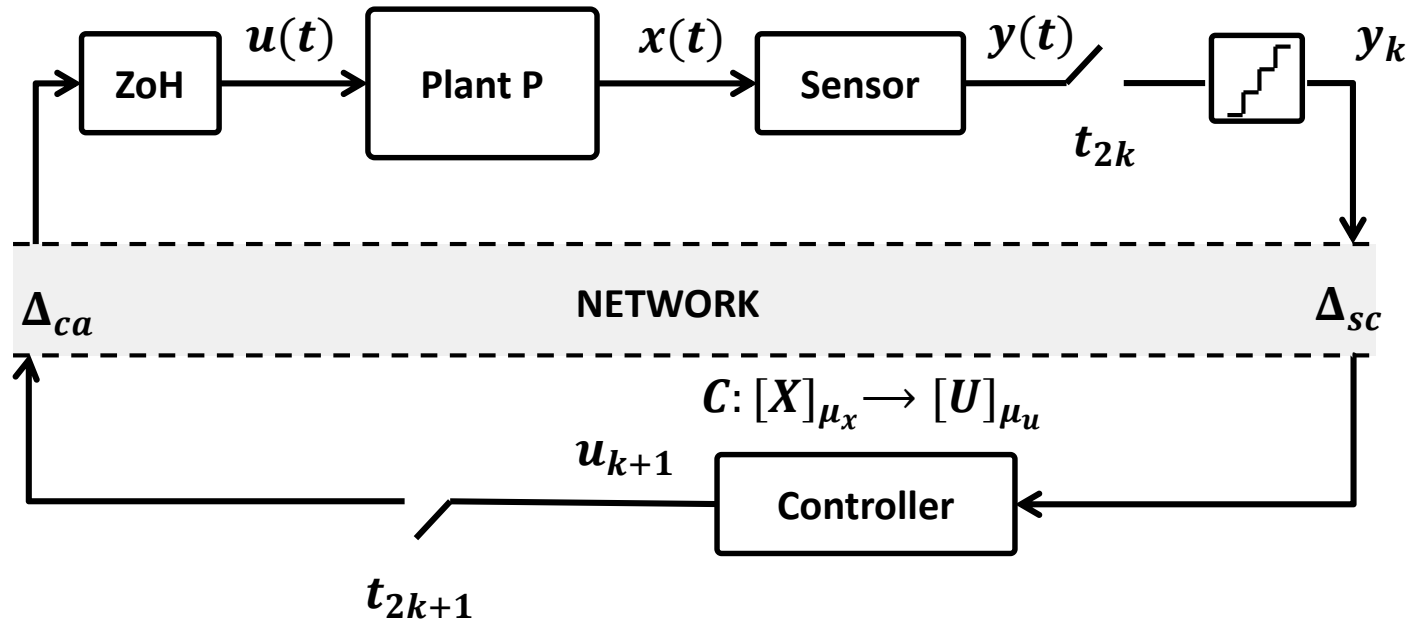
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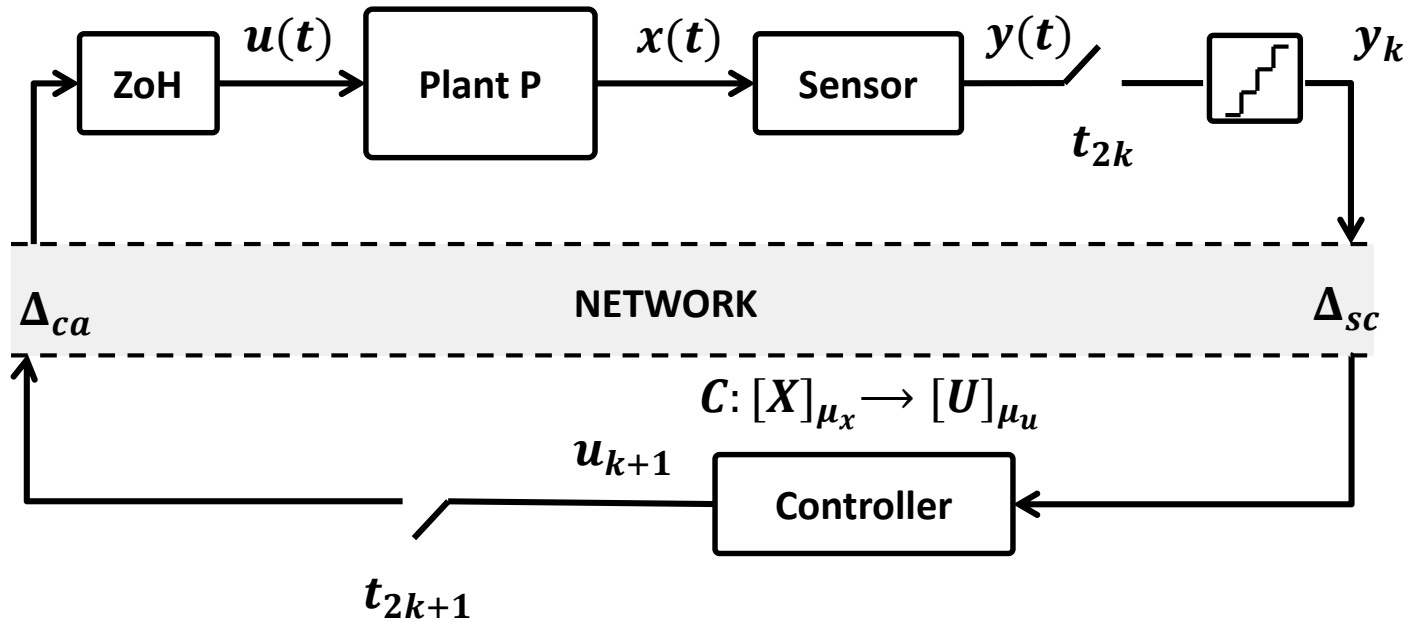
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# Dealing with heterogeneity

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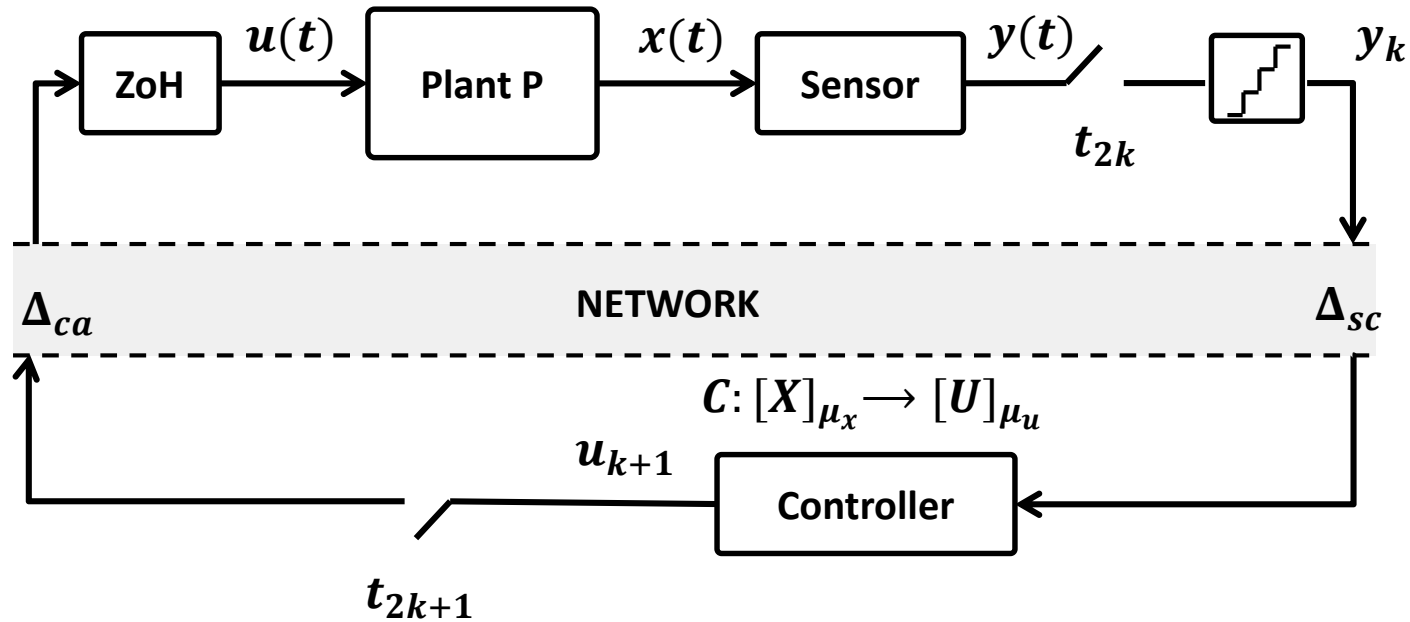


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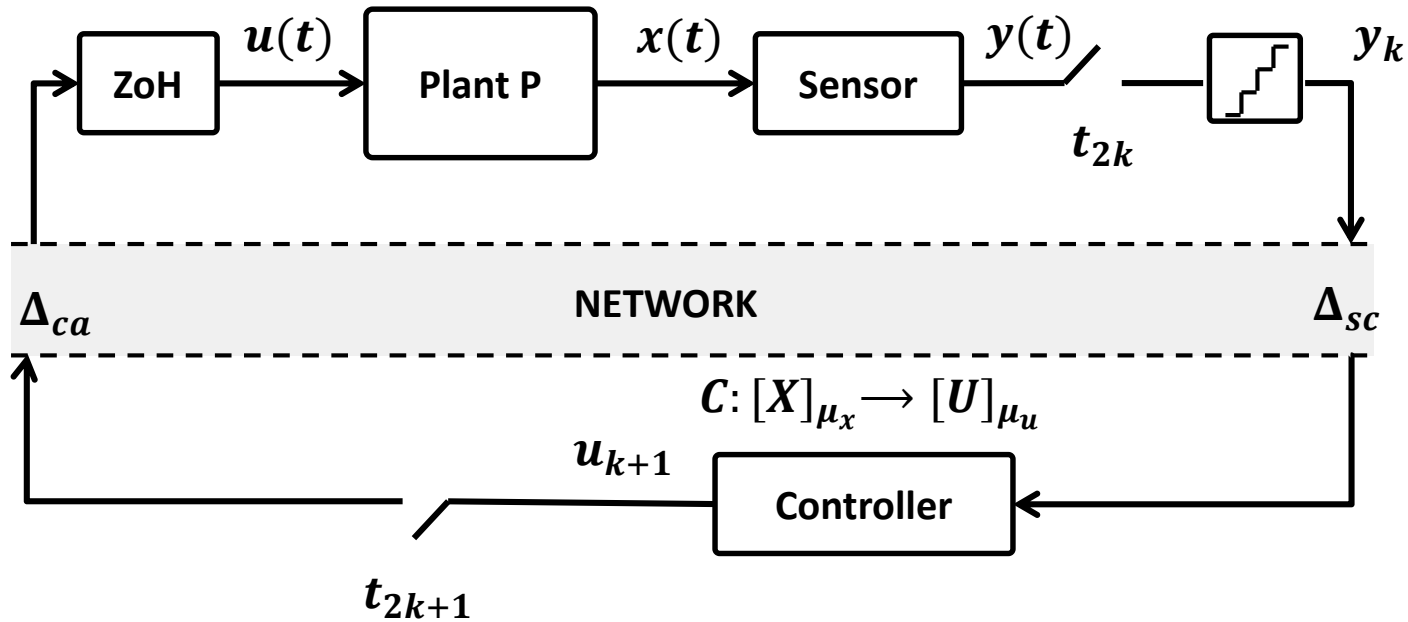
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# Dealing with heterogeneity

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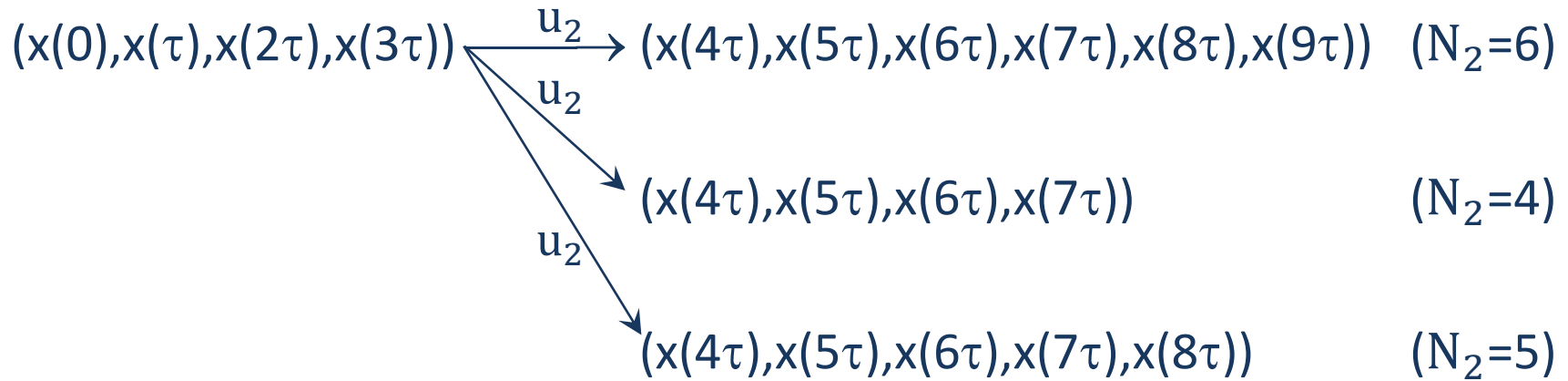
## Nonlinear Networked control systems as TSs

$$(x(0), x(\tau), x(2\tau), x(3\tau)) \xrightarrow{u_1} (x(4\tau), x(5\tau), x(6\tau), x(7\tau), x(8\tau), x(9\tau))$$

t	0	$\tau$	$2\tau$	$3\tau$	$4\tau$	$5\tau$	$6\tau$	$7\tau$	$8\tau$	$9\tau$	...
u	0	0	0	$u_1$	$u_1$	$u_1$	$u_1$	$u_1$	$u_1$	$u_2$	...
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	$N_1 = 4$				$N_2 = 6$						...

# Dealing with heterogeneity

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Given a NCS  $\Sigma$  define the TS

$T(\Sigma) = (Q_\tau, Q_{0,\tau}, L_\tau, \rightarrow_\tau, Q_{m,\tau}, O_\tau, H_\tau)$  where:

- $Q_\tau \subseteq Q_0 \cup Q_e$  where  $Q_e := \bigcup_{N=N_{min}}^{N_{max}} Q^N$  and for any  $q = (x_1, x_2, \dots, x_N) \in Q^N$ ,  $x_{i+1} = \mathbf{x}(\tau, x_i, u^-)$ ,  $i \in [1; N - 2]$ , and  $x_N = \mathbf{x}(\tau, x_{N-1}, u^+)$  for some control inputs  $u^-$ ,  $u^+$

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- $Q_{0,\tau} = Q_0$

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- $q^1 \xrightarrow{u} q^2$  where, for some  $N_1, N_2 \in [N_{min}; N_{max}]$



# Dealing with heterogeneity

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  - $x_N^2 = \mathbf{x}(\tau, x_{N_2-1}^2, u_2^+)$
  - $u_2^- = u_1^+$
  - $u_2^+ = u$
  - $x_1^2 = \mathbf{x}(\tau, x_{N_1}^1, u_2^-)$

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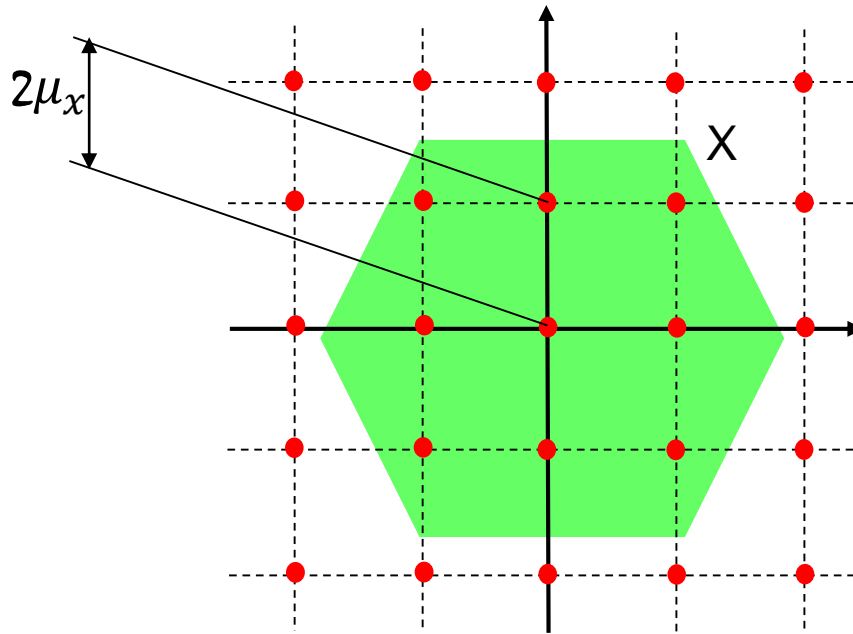
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  - $u_2^+ = u$
  - $x_1^2 = \mathbf{x}(\tau, x_{N_1}^1, u_2^-)$
- $Q_{m,\tau} = Q_\tau$
- $O_\tau = X_\tau$
- $H_\tau$  is the identity function

# Symbolic models for NCS

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$T(\Sigma)$  collects all the information of the NCS  $\Sigma$  available at the sensor, but it is not a symbolic model. We therefore propose a symbolic model by quantizing the state space  $X$  of the plant  $P$

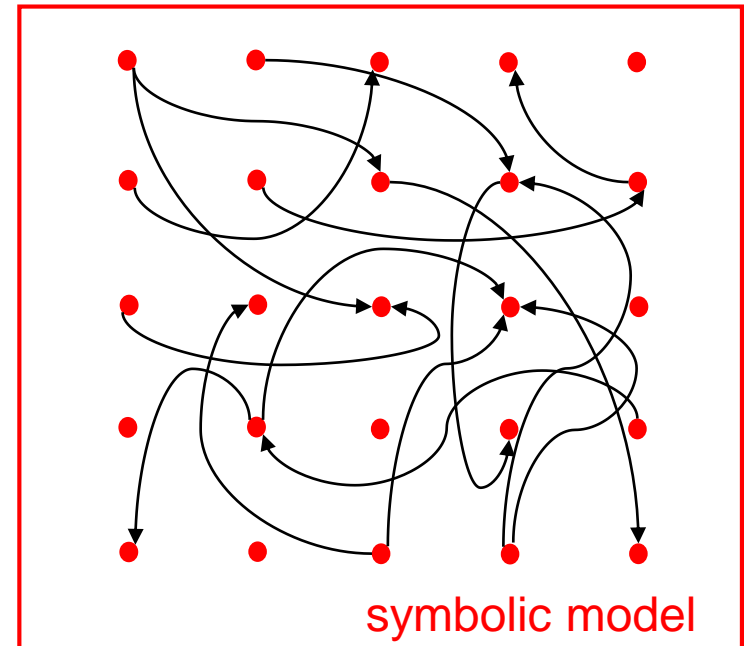


Given  $x \in X$  let  $[x]_{\mu_X} \in [X]_{\mu_X}$  be such that  $|x - [x]_{\mu_X}| \leq \mu_X$

# Symbolic models for NCS

Define the transition system  $T^*(\Sigma) = (Q_*, Q_{0,*}, L_*, \rightarrow_*, Q_{m,*}, O_*, H_*)$  where:

- $Q_* \subseteq [Q_0 \cup Q_e]_{\mu_x}$  s.t. for any  $q^* = (x_1^*, x_2^*, \dots, x_N^*) \in Q_*$ ,  $x_{i+1}^* = [\mathbf{x}(\tau, x_i^*, u_*^-)]_{\mu_x}$ ,  $i \in [1; N - 2]$ , and  $x_N^* = [\mathbf{x}(\tau, x_{N-1}^*, u_*^+)]_{\mu_x}$  for some  $u_*^-, u_*^+$
- $Q_{0,*} = [X_0]_{\mu_x}$
- $L_* = [U]_{\mu_u}$
- $q^1 \xrightarrow{u_*} q^2$  where, for some  $N_1, N_2$ 
  - $x_{i+1}^1 = [\mathbf{x}(\tau, x_i^1, u_1^-)]_{\mu_x}$ ,  $i \in [1; N - 2]$
  - $x_N^1 = [\mathbf{x}(\tau, x_{N-1}^1, u_1^+)]_{\mu_x}$
  - $x_{i+1}^2 = [\mathbf{x}(\tau, x_i^2, u_2^-)]_{\mu_x}$ ,  $i \in [1; N - 2]$
  - $x_N^2 = [\mathbf{x}(\tau, x_{N-1}^2, u_2^+)]_{\mu_x}$
  - $u_2^- = u_1^+$
  - $u_2^+ = u_*$
  - $x_1^2 = [\mathbf{x}(\tau, x_{N-1}^1, u_2^-)]_{\mu_x}$
- $Q_{m,*} = Q_*$
- $O_* = X_\tau$
- $H_*$  is the identity function



# Symbolic models for NCS

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## Theorem 1 [HSCC-2012]

Consider the NCS  $\Sigma$  and suppose that the plant nonlinear control system  $P$  enjoys the following properties:

1. There exists a  $\delta$ -GAS Lyapunov function for  $\Sigma$ , hence there exists  $\lambda \in \mathbb{R}^+$  s.t. for any  $x_1, x_2 \in X$ , and any  $u \in U$

$$\frac{\partial V}{\partial x_1} f(x_1, u) + \frac{\partial V}{\partial x_2} f(x_2, u) \leq -\lambda V(x_1, x_2).$$

2. There exists a  $K_\infty$  function  $\gamma$  such that  $V(x, x') \leq V(x, x'') + \gamma(+|x' - x''|)$  for every  $x, x', x'' \in X$ .

Then for any desired precision  $\varepsilon > 0$ , any sampling time  $\tau > 0$ , and any state quantization  $\mu_x > 0$  such that

$$\mu_x \leq \min \left\{ \gamma^{-1} \left( (1 - e^{-\lambda\tau}) \underline{\alpha}(\varepsilon) \right), \bar{\alpha}^{-1}(\underline{\alpha}(\varepsilon)), \hat{\mu}_X \right\}$$

transition systems  $T(\Sigma)$  and  $T^*(\Sigma)$  are  $\varepsilon$ -alternatingly bisimilar

## **Theorem [HSCC-2012]**

For any  $\delta$ -GAS nonlinear NCS  $\Sigma$  with compact state and input spaces and for any precision  $\varepsilon$  there exists a symbolic transition system  $T^*(\Sigma)$  that is an  $\varepsilon$ -alternating approximate bisimulation of  $\Sigma$  and that can be effectively computed

## **Theorem [IEEE-CDC-2012]**

For any  $\delta$ -FC nonlinear NCS  $\Sigma$  with compact state and input spaces, for any precision  $\varepsilon$ , there exists a symbolic transition system  $T^*(\Sigma)$  that is an  $\varepsilon$ -alternating approximate simulation of  $\Sigma$  and that can be effectively computed



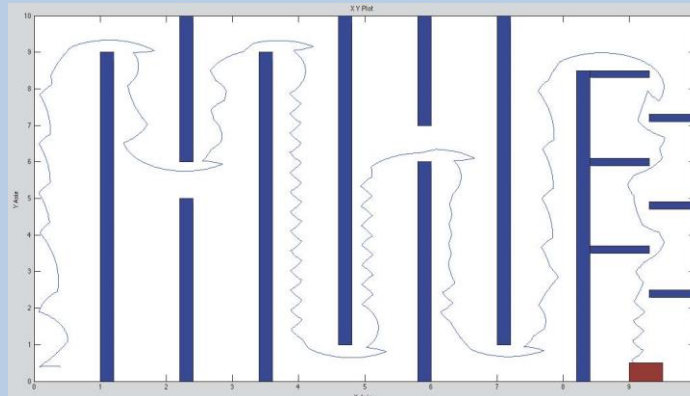
# Symbolic control design

## Class of specifications

Non-deterministic finite automata on infinite strings

## Examples:

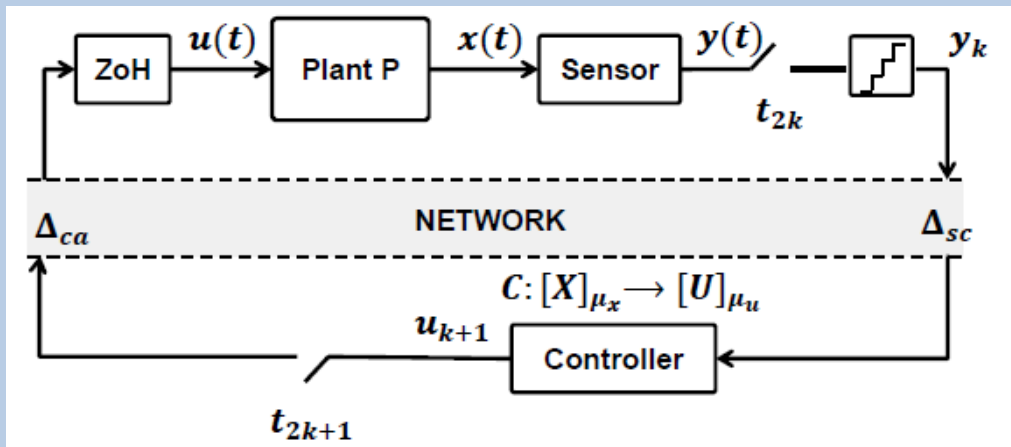
- Language specifications (e.g. robot motion planning)
- Synchronization specifications (e.g. starting from region A reach region B passing through region C in 1s)
- Obstacle avoidance (e.g. starting from region A, reach region B in finite time, while avoiding region C)
- Switching specifications (e.g. rotate clockwise in a certain region of the state space and rotate counter-clockwise in other regions of the state space)
- ...



# Symbolic control design

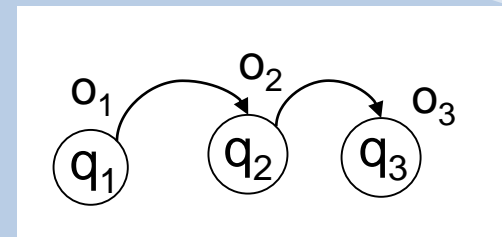
## Problem formulation:

Given a NCS  $\Sigma$ , a specification LTS  $S$  and a desired precision  $\varepsilon > 0$ , find a symbolic controller that implements  $S$  (up to precision  $\varepsilon$ ) robustly with respect to the non-idealities of the communication network and that is alive when interacting with  $\Sigma$



Networked Control System  $\Sigma$

$\preceq \varepsilon$



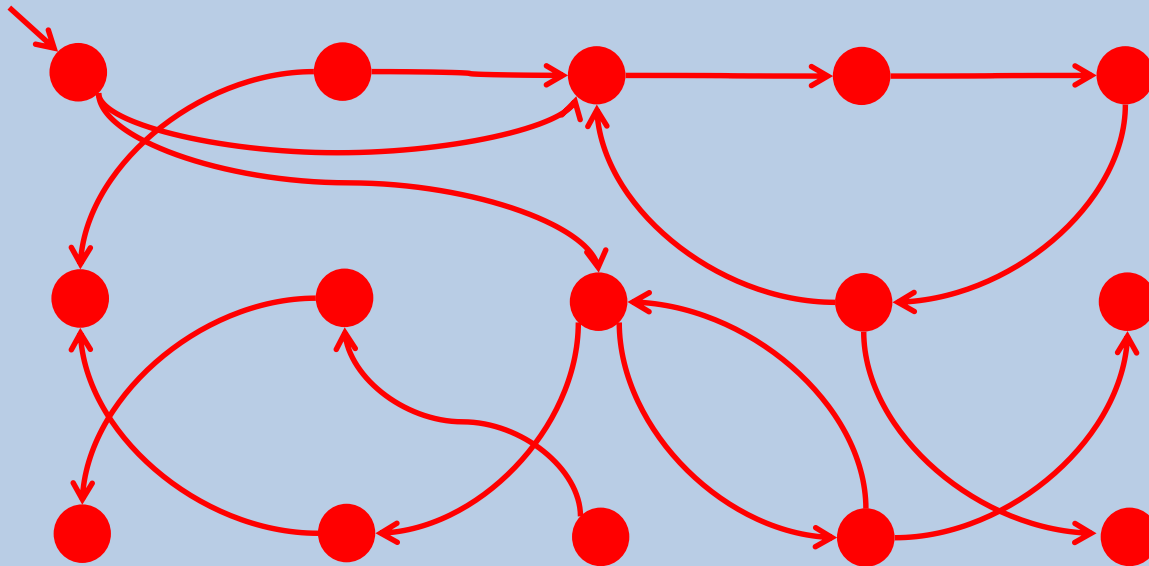
Specification LTS  $S$

# Symbolic control design

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Synthesis through a three-step process:

1. Compute the symbolic model  $T^*(\Sigma)$  of  $\Sigma$
2. Compute the approximate parallel composition  $C^* = T^*(\Sigma) \parallel_{\mu \times S}$
3. Compute the maximal alive part  $\text{Alive}(C^*)$  of  $C^*$

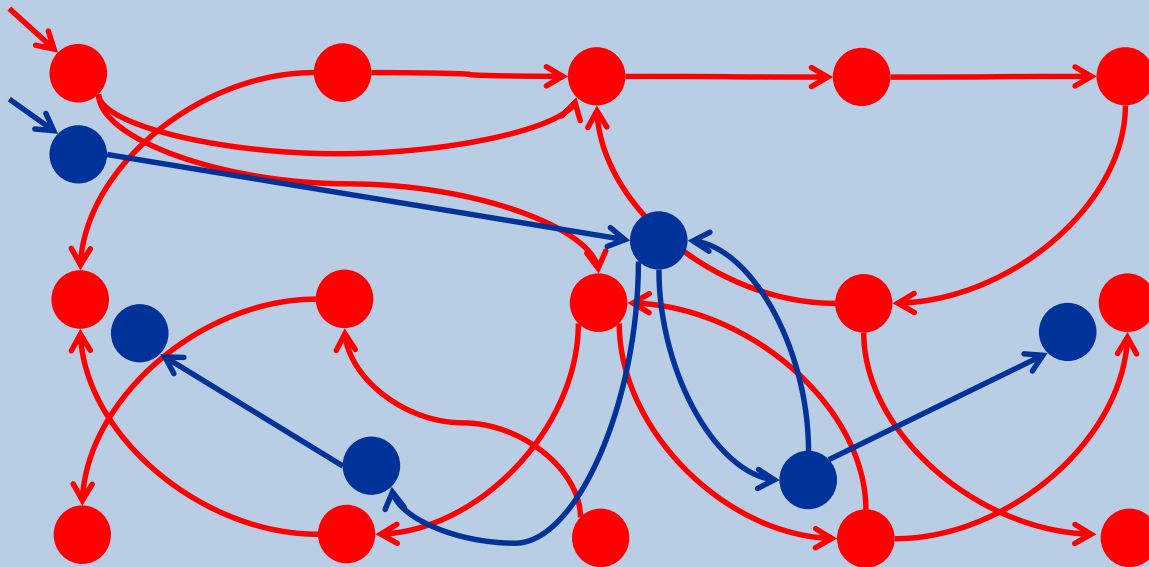


# Symbolic control design

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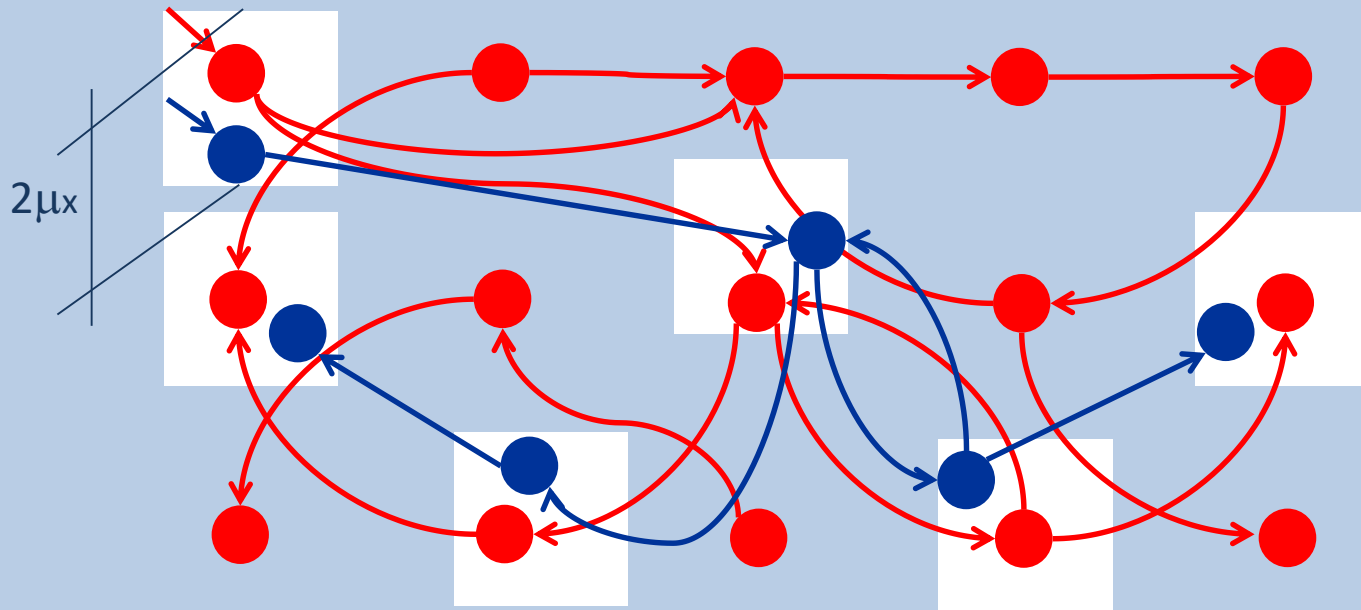
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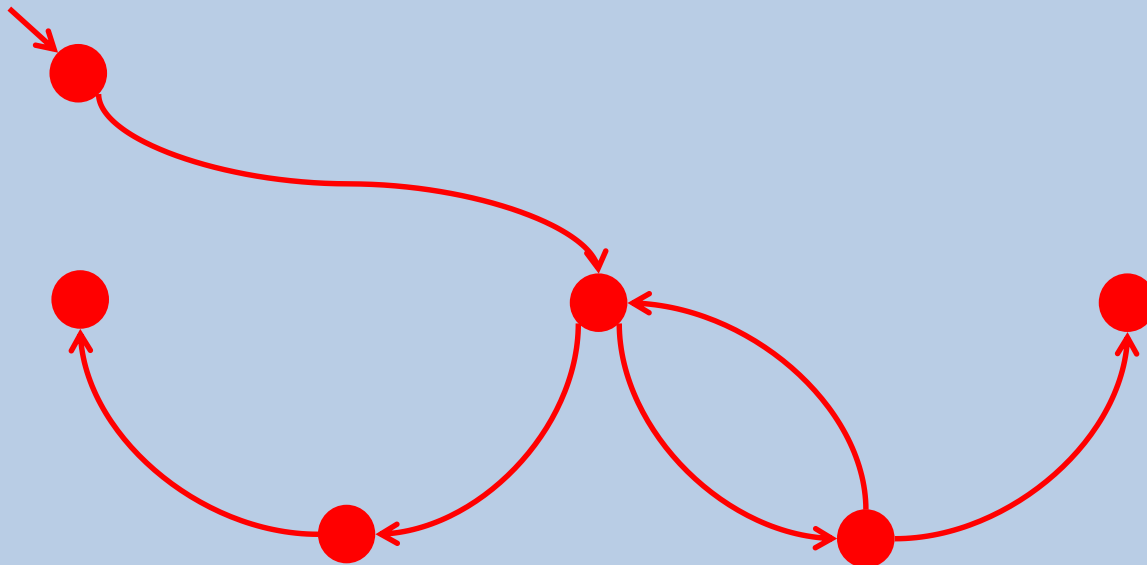


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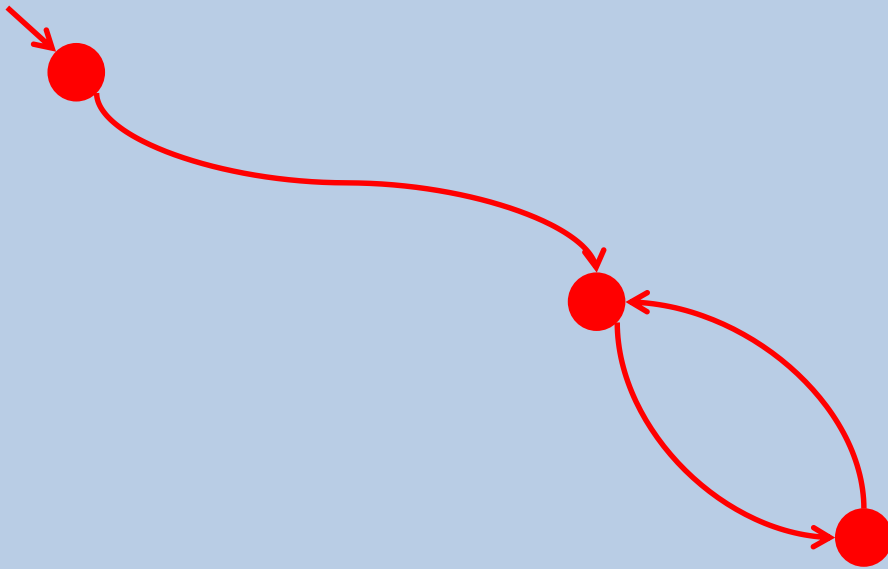


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Drawback

High computational complexity!



# Symbolic control design

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## Drawback

High computational complexity!

Efficient on-the-fly (off-line) algorithms that integrate the synthesis of  $\text{Alive}(C^*)$  with the construction of  $T^*(\Sigma)$

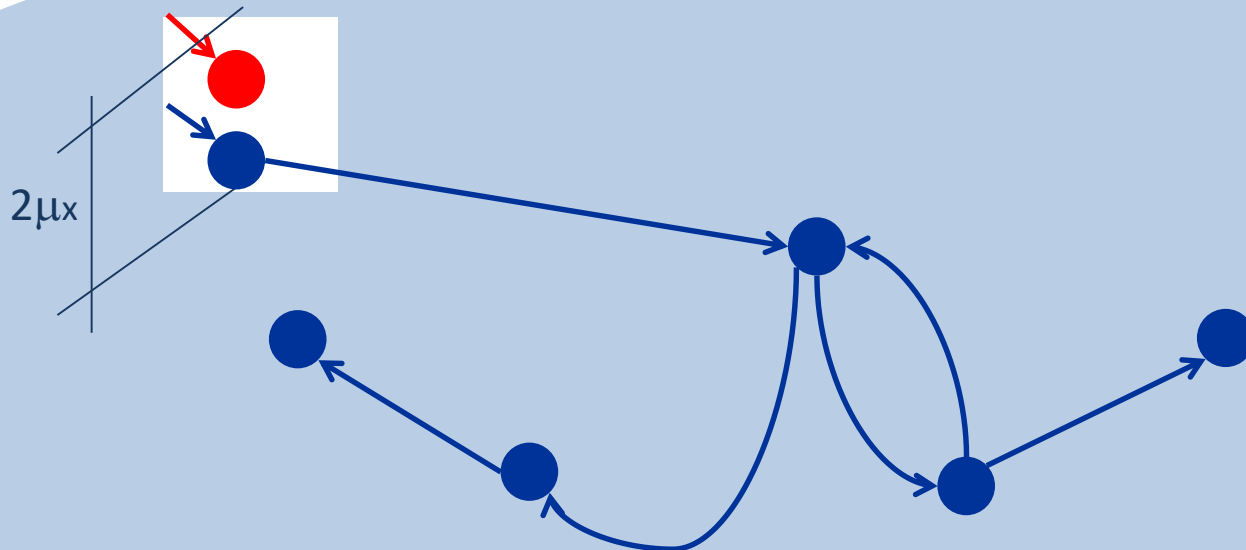
***[Pola, Borri, Di Benedetto, IEEE-TAC-2012]***

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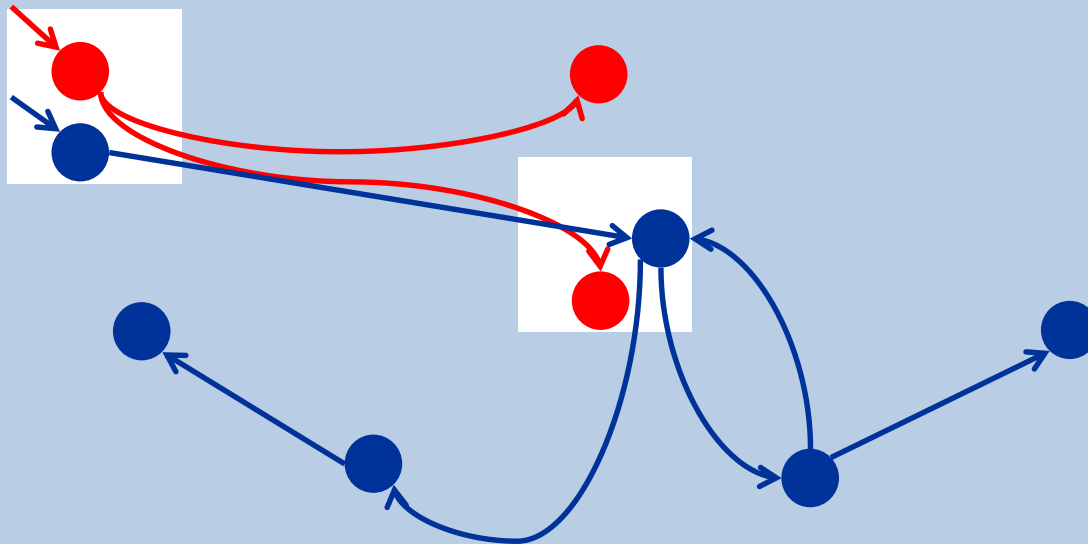


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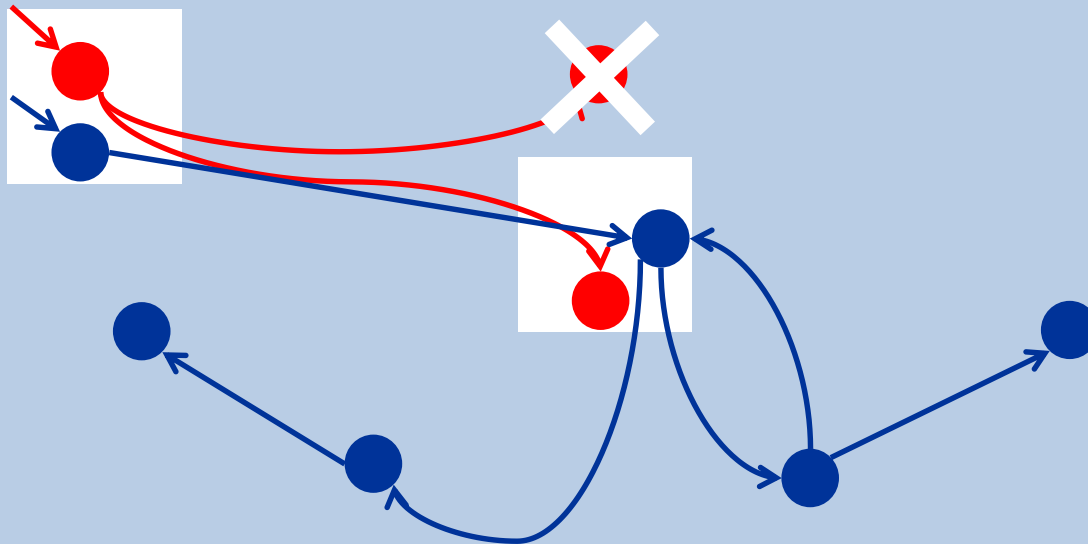


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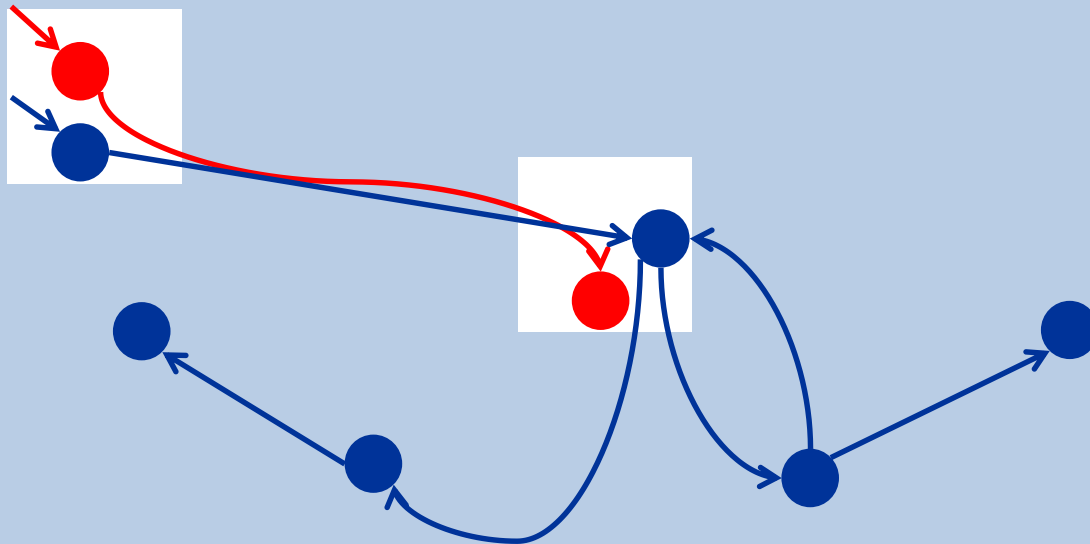


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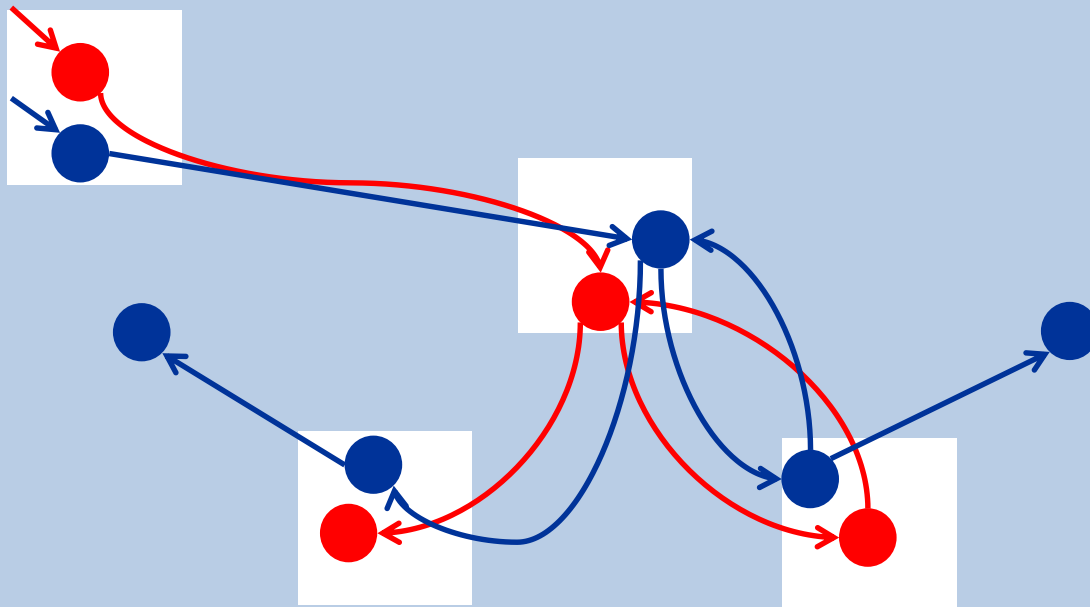


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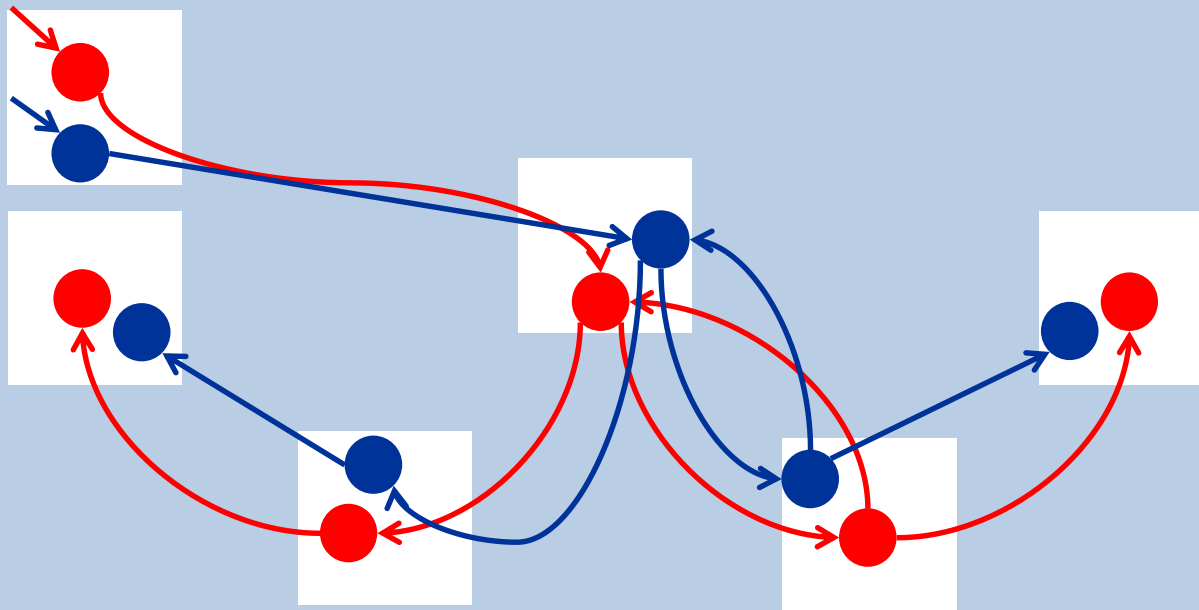


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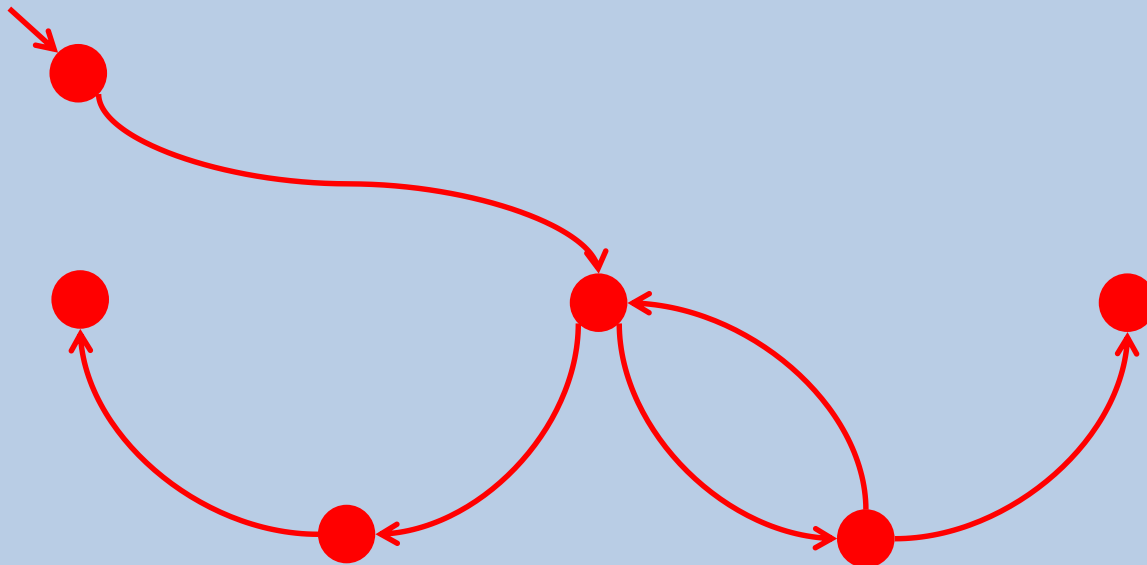


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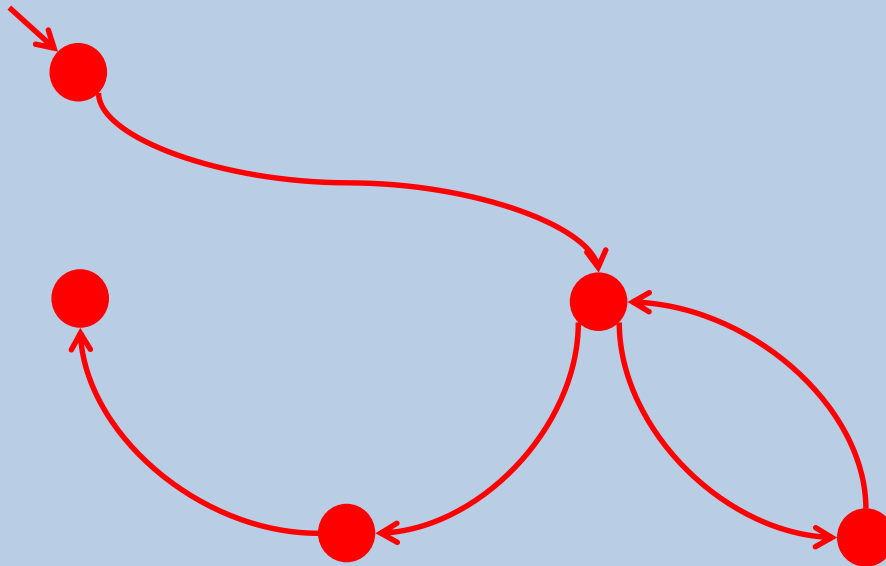


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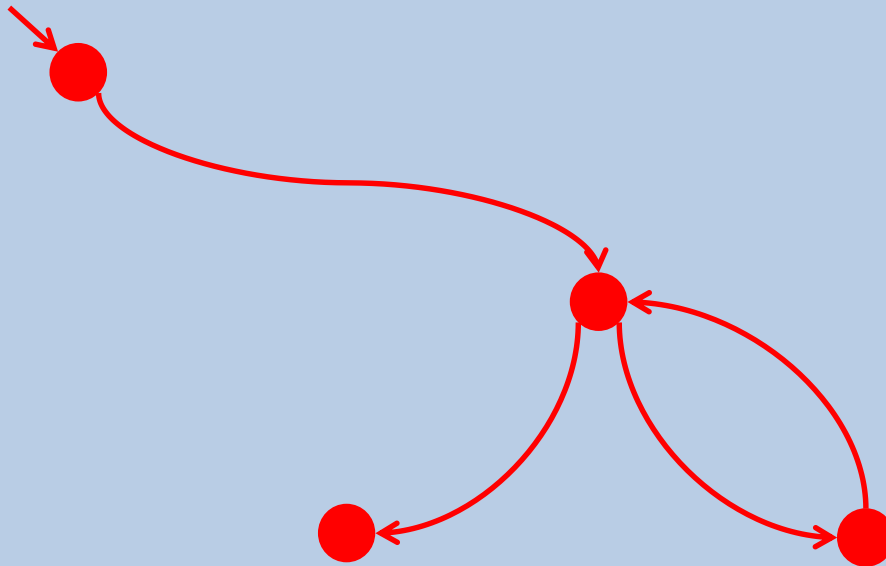


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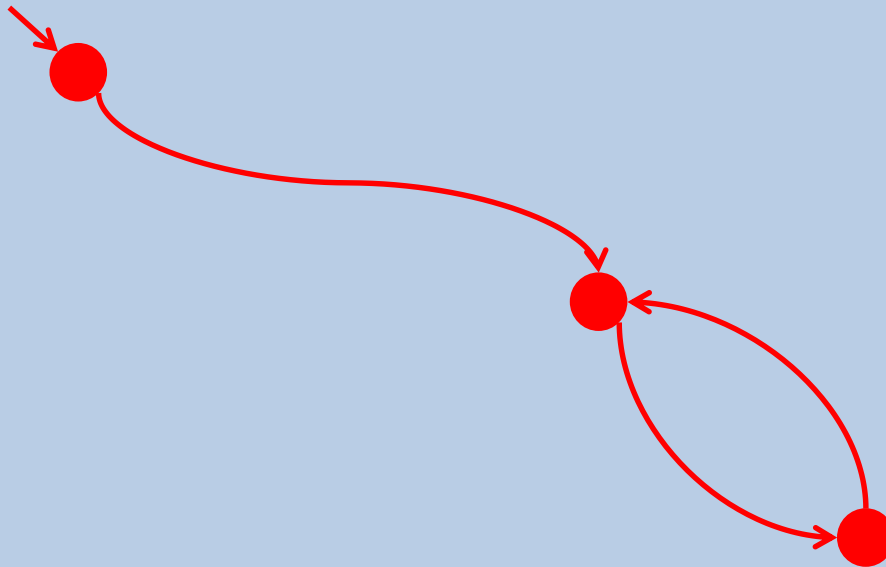


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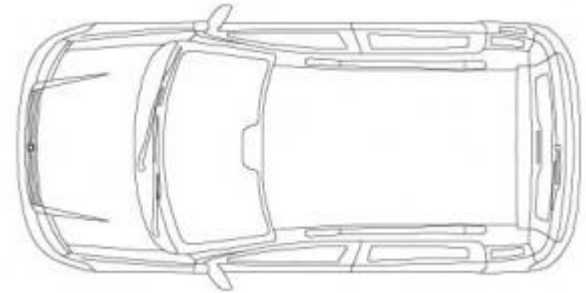


# Example

---

We consider a widely used nonlinear vehicle model, controlled over a non-reliable network:

$$P: \quad \dot{x} = \begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_3 \end{bmatrix} = f(x, u) = \begin{bmatrix} u_1 \cos x_3 \\ u_1 \sin x_3 \\ u_2 \end{bmatrix}$$



State space  $x \in X = X_0 = [-1,1[ \times [-1,1[ \times [-\pi, \pi[$

Input space  $u \in U = [-1,1[ \times [-1,1[$

Sampling/quantization parameters  $\tau = 0.2 \text{ s}$   $\mu_x = 0.02$   $\mu_u = 0.25$

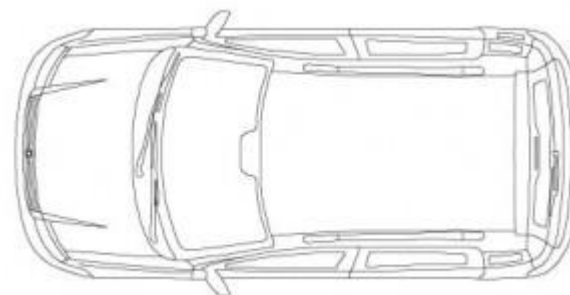
The plant **P** is  $\delta$ -FC with  $\lambda = 2$

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Bandwidth

$$B_{max} = 1 \text{ kbit/s}$$

Maximum control computation time

$$\Delta_{max}^{ctrl} = 0.01 \text{ s}$$

Maximum waiting time to access the network

$$\Delta_{max}^{req} = 0.05 \text{ s}$$

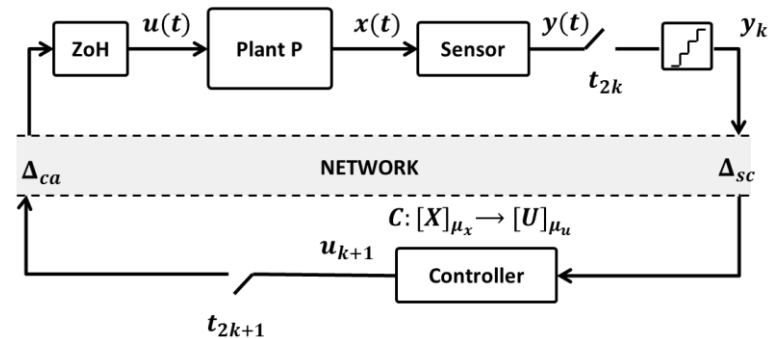
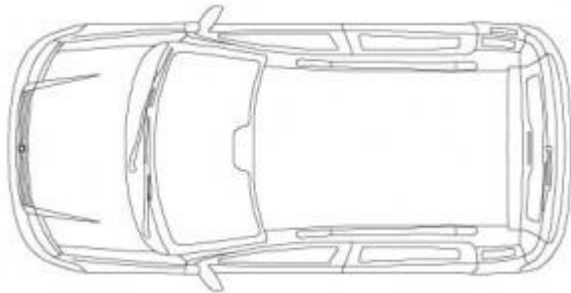
Maximum network delays

$$\Delta_{max}^{delay} = 0.1 \text{ s}$$

# Example

Required precision

$$\varepsilon = 0.15$$



$$N_{min} = \lceil \Delta_{min} / \tau \rceil = 1$$

$\Delta_{min}$  = (total) minimum delay allowed

$$N_{max} = \lceil \Delta_{max} / \tau \rceil = 2$$

$\Delta_{max}$  = (total) maximum delay allowed

Robust control design problem: enforce trajectories in the state space independently from the realization of the network uncertainties.

This example shows how formal methods offer a systematic approach to deal with complex specifications, such as obstacle avoidance and path planning problems *in the presence of nonideal communication infrastructure*, which is often the case in concrete applications.

# Example

## Motion planning with obstacle avoidance

