



Formal Methods for the Control of Large-scale Networked Nonlinear Systems with Logic Specifications



Basilica di Santa Maria di Collemaggio, 1287, L'Aquila

Lecture L8 Symbolic models and control for nonlinear systems affected by disturbances and applications

Speaker: Alessandro Borri

In this lecture we will consider nonlinear control systems affected by disturbances modeling external unknown inputs and model uncertaintes

Tools:

- Alternating approximate bisimulation
- Functional analysis

Lecture mostly based on:

[[]Borri et al., IJC12] Borri, A., Pola, G., Di Benedetto, M.D., Symbolic models for nonlinear control systems affected by disturbances, International Journal of Control, 85(10):1422-1432, September 2012

- Symbolic models for nonlinear control systems affected by disturbances were first proposed in [Pola & Tabuada, SIAM 2009], but they are difficult to be effectively constructed because they rely upon the knowledge of reachable sets.
- In this lecture, we overcome these difficulties by leveraging results on spline analysis and propose symbolic models that can be effectively constructed.
- Based on these symbolic models, it is possible to design symbolic controllers that are robust with respect to the nondeterminism of the model.
- We illustrate robust symbolic control techniques in on vehicle platooning, adaptive cruise control, robot motion planning and control of traffic flow

A unified framework for continuous and discrete systems

Definition A transition system is a tuple:

 $T = (X, X_0, L, \longrightarrow, X_m, Y, H),$

- consisting of:
- a set of states X
- a set of initial states X₀ ⊂ X
- a set of inputs L = A × B, where
 - A is the set of control inputs
 - B is the set of disturbance inputs
- a transition relation $\longrightarrow \subseteq X \times L \times X$
- a set of marked states $X_m \subseteq X$
- a set of outputs Y
- an output function $H: X \rightarrow Y$

T is said countable if X and L are countable sets T is said symbolic/finite if X and L are finite sets T is metric if the output set is equipped with a metric

We will follow standard practice and denote $(x, (a,b), x') \in \longrightarrow by x \xrightarrow{(a,b)} x'$



Two equivalent representations for transition systems



 $T' = (X, X_0, A, \rightarrow, X_m, Y, H)$

T' is non-deterministic The disturbance does not appear explicitly

 $T = (X, X_0, A \times B, \longrightarrow, X_m, Y, H)$

T is deterministic A is the set of control inputs B is the set of disturbance inputs

In the following, we will use the notation of T because we will compute explicitly an approximation of the set of continuous disturbances

A unified framework for continuous and discrete systems

A nonlinear control system $\boldsymbol{\Sigma}$

 $dx/dt = f(x,u,d), x \in X \subseteq R^n, u \in U \subseteq R^m, d \in D \subseteq R^l$

can be modeled by the transition system

 $\mathsf{T}(\Sigma) = (\mathsf{X}, \mathsf{X}_0, \mathcal{U} \times \mathcal{D}, \longrightarrow, \mathsf{X}_m, \mathsf{Y}, \mathsf{H}),$

where:

- X₀=X
- \mathcal{U} is the collection of control signals $u : R \rightarrow U$
- \mathcal{D} is the collection of disturbance signals $u : R \rightarrow D$
- $p \xrightarrow{(u,d)} q$, if $x(\tau,p,u,d) = q$ for some $\tau \ge 0$
- X_m=X
- Y = X
- H is the identity function



 $T(\Sigma)$ captures the information contained in Σ but it is not a symbolic model because X, U and D are infinite sets!

Exact equivalence notions

[*Milner & Park, 1981*]: Given $T_1 = (X_1, X_{01}, A_1 \times B_1, \longrightarrow_1, X_{m1}, Y_1, H_1)$ and $T_2 = (X_2, X_{02}, A_2 \times B_2, \longrightarrow_2, X_{m2}, Y_2, H_2)$ with $Y_1 = Y_2$, a relation

 $\mathsf{R} \subseteq \mathsf{X}_1 \times \mathsf{X}_2$

is a *simulation relation* from T_1 to T_2 if

- $\forall x_1 \in X_{01}, \exists x_2 \in X_{02} \text{ s.t. } (x_1, x_2) \in R$
- $\forall \mathbf{x}_1 \in \mathbf{X}_{m1}, \exists \mathbf{x}_2 \in \mathbf{X}_{m2} \text{ s.t. } (\mathbf{x}_1, \mathbf{x}_2) \in \mathbf{R}$
- $\forall (\mathbf{x}_1, \mathbf{x}_2) \in \mathsf{R}, \, \mathsf{H}_1(\mathbf{x}_1) = \mathsf{H}_2(\mathbf{x}_2)$

• $\forall (\mathbf{x}_1, \mathbf{x}_2) \in \mathbb{R}, \forall \mathbf{a}_1 \forall \mathbf{b}_1 \exists \mathbf{a}_2 \exists \mathbf{b}_2 \text{ such that}$ $\mathbf{x}_1 \xrightarrow{(\mathbf{a}_1, \mathbf{b}_1)} \mathbf{p}_1 \text{ and } \mathbf{x}_2 \xrightarrow{(\mathbf{a}_2, \mathbf{b}_2)} \mathbf{p}_2 \text{ and } (\mathbf{p}_1, \mathbf{p}_2) \in \mathbb{R}$

R is a bisimulation relation between T_1 and T_2 if

- R is a simulation relation from T₁ to T₂
- R⁻¹ is a simulation relation from T₂ to T₁

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Transition systems T_1 and T_2 are bisimilar
if there exists a bisimulation relation
between T_1 and T_2
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Exact equivalence notions

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Transition systems T_1 and T_2 are bisimilar if there exists a bisimulation relation between T_1 and T_2



[Girard & Pappas, 2007]: Given $T_1 = (X_1, X_{01}, A_1 \times B_1, \longrightarrow_1, X_{m1}, Y_1, H_1)$ and $T_2 = (X_2, X_{02}, A_2 \times B_2, \longrightarrow_2, X_{m2}, Y_2, H_2)$ with $Y_1 = Y_2$, and an accuracy $\varepsilon > 0$, a relation

 $\mathsf{R} \subseteq \mathsf{X}_1 \times \mathsf{X}_2$

is an ε -simulation relation from T_1 to T_2 if

- $\forall x_1 \in X_{01}, \exists x_2 \in X_{02} \text{ s.t. } (x_1, x_2) \in R$
- $\forall \mathbf{x}_1 \in \mathbf{X}_{m1}, \exists \mathbf{x}_2 \in \mathbf{X}_{m2} \text{ s.t. } (\mathbf{x}_1, \mathbf{x}_2) \in \mathbf{R}$
- $\forall (\mathbf{x}_1, \mathbf{x}_2) \in \mathbb{R}, \ \mathbf{d}(\mathbf{H}_1(\mathbf{q}_1), \mathbf{H}_2(\mathbf{q}_2)) \leq \varepsilon$
- $\forall (\mathbf{x}_1, \mathbf{x}_2) \in \mathbb{R}, \forall \mathbf{a}_1 \forall \mathbf{b}_1 \exists \mathbf{a}_2 \exists \mathbf{b}_2 \text{ such that}$

 $\textbf{x}_1 \xrightarrow{\textbf{(a_1,b_1)}} \textbf{p}_1 \text{ and } \textbf{x}_2 \xrightarrow{\textbf{(a_2,b_2)}} \textbf{p}_2 \text{ and } (\textbf{p}_1,\textbf{p}_2) \in \textbf{R}$

R is an ε -bisimulation relation between T_1 and T_2 if

- R is an ε-simulation relation from T₁ to T₂
- R^{-1} is an ε -simulation relation from T_2 to T_1

Transition systems T_1 and T_2 are ϵ -bisimilar if there exists an ϵ -bisimulation relation between T_1 and T_2



[Girard & Pappas, 2007]: Given $T_1 = (X_1, X_{01}, A_1 \times B_1, \longrightarrow_1, X_{m1}, Y_1, H_1)$ and $T_2 = (X_2, X_{02}, A_2 \times B_2, \longrightarrow_2, X_{m2}, Y_2, H_2)$ with $Y_1 = Y_2$, and an accuracy $\varepsilon > 0$, a relation

 $\mathsf{R} \subseteq \mathsf{X}_1 \times \mathsf{X}_2$

is an ε -simulation relation from T_1 to T_2 if

- $\forall x_1 \in X_{01}, \exists x_2 \in X_{02} \text{ s.t. } (x_1, x_2) \in R$
- $\forall \mathbf{x}_1 \in \mathbf{X}_{m1}, \exists \mathbf{x}_2 \in \mathbf{X}_{m2} \text{ s.t. } (\mathbf{x}_1, \mathbf{x}_2) \in \mathbf{R}$
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- $\forall (\mathbf{x}_1, \mathbf{x}_2) \in \mathbb{R}, \forall \mathbf{a}_1 \forall \mathbf{b}_1 \exists \mathbf{a}_2 \exists \mathbf{b}_2 \text{ such that}$ $\mathbf{x}_1 \xrightarrow{(\mathbf{a}_1, \mathbf{b}_1)} \mathbf{p}_1 \text{ and } \mathbf{x}_2 \xrightarrow{(\mathbf{a}_2, \mathbf{b}_2)} \mathbf{p}_2 \text{ and } (\mathbf{p}_1, \mathbf{p}_2) \in \mathbb{R}$

R is an ε -bisimulation relation between T_1 and T_2 if

- **R** is an ε -simulation relation from T_1 to T_2
- R^{-1} is an ε -simulation relation from T_2 to T_1

<u>Drawback:</u> This notion fails to distinguish the different role played by control and disturbance inputs!



[Pola & Tabuada, 2009] : Given $T_1 = (X_1, X_{01}, A_1 \times B_1, \longrightarrow_1, X_{m1}, Y_1, H_1)$ and $T_2 = (X_2, X_{02}, A_2 \times B_2, \longrightarrow_2, X_{m2}, Y_2, H_2)$ with $Y_1 = Y_2$, and an accuracy $\varepsilon > 0$, a relation

 $R \subseteq X_1 \times X_2$

is an A ϵ A-*simulation relation* from T₁ to T₂ if

- $\forall x_1 \in X_{01}, \exists x_2 \in X_{02} \text{ s.t. } (x_1, x_2) \in \mathbb{R}$
- $\forall \mathbf{x}_1 \in \mathbf{X}_{m1}, \exists \mathbf{x}_2 \in \mathbf{X}_{m2} \text{ s.t. } (\mathbf{x}_1, \mathbf{x}_2) \in \mathbf{R}$
- $\forall (\mathbf{x}_1, \mathbf{x}_2) \in \mathsf{R}, \ \mathsf{d}(\mathsf{H}_1(\mathsf{q}_1), \mathsf{H}_2(\mathsf{q}_2)) \leq \varepsilon$
- $\forall (\mathbf{x}_1, \mathbf{x}_2) \in \mathbb{R}, \forall \mathbf{a}_1 \exists \mathbf{a}_2 \forall \mathbf{b}_2 \exists \mathbf{b}_1 \text{ such that}$ $\mathbf{x}_1 \xrightarrow{(\mathbf{a}_1, \mathbf{b}_1)} \mathbf{p}_1 \text{ and } \mathbf{x}_2 \xrightarrow{(\mathbf{a}_2, \mathbf{b}_2)} \mathbf{p}_2 \text{ and } (\mathbf{p}_1, \mathbf{p}_2) \in \mathbb{R}$

R is an A ϵ A-bisimulation relation between T_1 and T_2 if

- **R** is an A ϵ A-simulation relation from T₁ to T₂
- R^{-1} is an A ϵ A-simulation relation from T_2 to T_1

Transition systems T_1 and T_2 are AeA-bisimilar if there exists an AeA-bisimulation relation between T_1 and T_2



[Pola & Tabuada, 2009] : Given $T_1 = (X_1, X_{01}, A_1 \times B_1, \longrightarrow_1, X_{m1}, Y_1, H_1)$ and $T_2 = (X_2, X_{02}, A_2 \times B_2, \longrightarrow_2, X_{m2}, Y_2, H_2)$ with $Y_1 = Y_2$, and an accuracy $\varepsilon > 0$, a relation

 $\mathsf{R} \subseteq \mathsf{X}_1 \times \mathsf{X}_2$

is an A ϵ A-*simulation relation* from T₁ to T₂ if

- $\forall x_1 \in X_{01}, \exists x_2 \in X_{02} \text{ s.t. } (x_1, x_2) \in R$
- $\forall \mathbf{x}_1 \in \mathbf{X}_{m1}, \exists \mathbf{x}_2 \in \mathbf{X}_{m2} \text{ s.t. } (\mathbf{x}_1, \mathbf{x}_2) \in \mathbf{R}$
- $\forall (\mathbf{x}_1, \mathbf{x}_2) \in \mathsf{R}, d(\mathsf{H}_1(\mathsf{q}_1), \mathsf{H}_2(\mathsf{q}_2)) \leq \varepsilon$
- $\forall (\mathbf{x}_1, \mathbf{x}_2) \in \mathbf{R}, \forall \mathbf{a}_1 \exists \mathbf{a}_2 \forall \mathbf{b}_2 \exists \mathbf{b}_1 \text{ such that}$

 $x_1 \xrightarrow{(a_1,b_1)} p_1 \text{ and } x_2 \xrightarrow{(a_2,b_2)} p_2 \text{ and } (p_1, p_2) \in \mathsf{R}$

R is an AcA-bisimulation relation between T_1 and T_2 if

- R is an AeA-simulation relation from T₁ to T₂
- R^{-1} is an A ϵ A-simulation relation from T_2 to T_1

Different role of control and disturbance labels:

- Approximate bisimulation $\forall a_1 \forall b_1 \exists a_2 \exists b_2$
- Alternating approximate bisimulation $\forall a_1 \exists a_2 \forall b_2 \exists b_1$



[Pola & Tabuada, 2009] : Given $T_1 = (X_1, X_{01}, A_1 \times B_1, \longrightarrow_1, X_{m1}, Y_1, H_1)$ and $T_2 = (X_2, X_{02}, A_2 \times B_2, \longrightarrow_2, X_{m2}, Y_2, H_2)$ with $Y_1 = Y_2$, and an accuracy $\varepsilon > 0$, a relation

 $R \subseteq X_1 \times X_2$

is an A ϵ A-*simulation relation* from T₁ to T₂ if

- $\forall x_1 \in X_{01}, \exists x_2 \in X_{02} \text{ s.t. } (x_1, x_2) \in \mathbb{R}$
- $\forall \mathbf{x}_1 \in \mathbf{X}_{m1}, \exists \mathbf{x}_2 \in \mathbf{X}_{m2} \text{ s.t. } (\mathbf{x}_1, \mathbf{x}_2) \in \mathbf{R}$
- $\forall (\mathbf{x}_1, \mathbf{x}_2) \in \mathsf{R}, d(\mathsf{H}_1(\mathsf{q}_1), \mathsf{H}_2(\mathsf{q}_2)) \leq \varepsilon$
- $\forall (\mathbf{x}_1, \mathbf{x}_2) \in \mathbf{R}, \forall \mathbf{a}_1 \exists \mathbf{a}_2 \forall \mathbf{b}_2 \exists \mathbf{b}_1 \text{ such that}$

 $\textbf{x}_1 \xrightarrow{\textbf{(a_1,b_1)}} \textbf{p}_1 \text{ and } \textbf{x}_2 \xrightarrow{\textbf{(a_2,b_2)}} \textbf{p}_2 \text{ and } (\textbf{p}_1,\textbf{p}_2) \in \textbf{R}$

R is an A ϵ A-bisimulation relation between T_1 and T_2 if

- R is an AeA-simulation relation from T₁ to T₂
- R^{-1} is an A ϵ A-simulation relation from T_2 to T_1

From [Alur et al., 1998] symbolic control strategies designed for T_1 can be appropriately transferred to T_2 if the systems are A ϵ A-bisimilar

Goal: construct AEA-bisimilar symbolic models



Spline approximation of the disturbance space

Assumptions

- 1. D is radial, i.e. $\rho D \subseteq D$ for any $\rho \in [0,1]$
- 2. The disturbance functions are bounded ($|d| \leq M$) and Lipschitz continuous with Lipschitz constant κ_d .

Consider the set \mathcal{D}_{τ} of the disturbance signals defined on the time interval $[0, \tau]$ for some $\tau > 0$.

Definition

A map A: $\mathbb{R}^+ \to 2^{\mathbb{C}^0([0,\tau];\mathbb{D})}$ is a finite inner approximation of \mathcal{D}_{τ} if for any desired precision $\lambda > 0$

- A(λ) is a finite set
- $A(\lambda) \subseteq \mathcal{D}_{\tau}$
- $\forall y \in \mathcal{D}_{\tau} \exists z \in A(\lambda) \text{ s.t. } |y z| \leq \lambda$

Spline approximation of the disturbance space

Approximation scheme

For a given $\lambda > 0$, we define the set $A_{\mathcal{D}_{\tau}}(\lambda)$ of all functions

 $z(t) := \sum_{i=0}^{N+1} z_i s_i(t), \ t \in [0, \tau]$

satisfying the following conditions:

- $z_i \in 2\mu \mathbb{Z}^l \cap \rho D$, for i = 0, ..., N + 1
- $|| z_{i+1} z_i || \le \kappa \tau / (N+1)$, for i = 0, ..., N

Theorem: The map $A_{\mathcal{D}_{\tau}}$ is a finite inner approximation of \mathcal{D}_{τ}

Approximation in 3 steps:

1. $d_1 = \rho d, 0 < \rho < 1$.

- d₂ is a piecewise-linear function with N+2 samples
- *d*₃ is a piecewise-linear function with N+2 *quantized* samples



Spline approximation of the disturbance space

Approximation error:

$$\Lambda(\kappa,\tau,M,N,\mu) = (1-\rho)M + (1+\rho)\kappa h + \mu$$

where $\rho = 1 - max \left\{ \frac{\mu}{M}, \frac{2\mu(N+1)}{\kappa\tau} \right\}$ and

- κ is the Lipschitz constant
- $h = \tau / (N+1)$ is the approximation step
- M is the infinity-norm bound
- N is the number of samples
- μ is the space quantization

Lemma: Given λ , κ , τ , M, there always exist N and μ s.t. Λ (κ , τ , M, N, μ) $\leq \lambda$



Definition

Given a nonlinear control system Σ , a smooth function

 $V: \mathbb{R}^n \times \mathbb{R}^n \to \mathbb{R}_0^+$

is said to be a δ -ISS Lyapunov function for Σ if there exist $\lambda \in \mathbb{R}^+$ and K_{∞} functions $\alpha_1, \alpha_2, \sigma_u, \sigma_d$ such that, for any $x_1, x_2 \in \mathbb{R}^n$, any $u_1, u_2 \in U$, and any $d_1, d_2 \in D$

1) $\alpha_1(|x_1 - x_2|) \le V(x_1, x_2) \le \alpha_2(|x_1 - x_2|)$

2)
$$\frac{\partial V}{\partial x_1} f(x_1, u_1, d_1) + \frac{\partial V}{\partial x_2} f(x_2, u_2, d_2) \le -\lambda V(x_1, x_2) + \sigma_u(|u_1 - u_2|) + \sigma_d(|d_1 - d_2|)$$

Theorem

A nonlinear control system Σ is δ -ISS if and only if it admits a δ -ISS Lyapunov function

Remark

Backstepping techniques for incremental stabilization are reported in [Zamani & Tabuada, IEEE-TAC 2011]

Consider a nonlinear control system Σ expressed in the form of transition system

 $\mathsf{T}(\Sigma) = (\mathsf{X}, \mathsf{X}_0, \mathcal{U} \times \mathcal{D}, \longrightarrow, \mathsf{X}_m, \mathsf{Y}, \mathsf{H}),$

and given some $\tau > 0$, define the transition system

$$\mathsf{T}_{\tau}(\Sigma) = (\mathsf{X}, \mathsf{X}_0, \mathcal{U}_{\tau} \times \mathcal{D}_{\tau}, \longrightarrow_{\tau}, \mathsf{X}_m, \mathsf{Y}, \mathsf{H}),$$

where:

- $\mathcal{U}_{\tau} \subseteq \mathcal{U}$ is the collection of constant control input functions $u : [0, \tau] \rightarrow U$
- $\mathcal{D}_{\tau} \subseteq \mathcal{D}$ is the collection of disturbance input functions $d : [0, \tau] \rightarrow D$
- $p \xrightarrow{(u,d)}_{\tau} q$ if $x(\tau,p,u,d) = q$

 $T_{\tau}(\Sigma)$ can be regarded as the time-discretization of $T(\Sigma)$. $T_{\tau}(\Sigma)$ is metric when we regard Y=X as being equipped with the metric

 $\mathrm{d}_Y(p,q) = |p-q|$

Construction of symbolic models

Consider the following vector $\mathbb{Q} = (\tau, \eta, \mu_u, N_d, \mu_d)$ of quantization parameters, where:

- sampling time
- state space quantization η
- μ_{u} control input space quantization
- N_d number of splines
- disturbance input space quantization Ud

and define the transition system $T_{\mathbb{Q}}(\Sigma) = (X_{\mathbb{Q}}, X_{\mathbb{Q},0}, L_{\mathbb{Q}}, \longrightarrow_{\mathbb{Q}}, X_{\mathbb{Q},m}, Y_{\mathbb{Q}}, H_{\mathbb{Q}}),$ where:

- $X_{\mathbb{Q}} = 2\eta \mathbb{Z}^n \cap X$
- $X_{\mathbb{Q},0} = X_{\mathbb{Q}}$
- $L_{\mathbb{Q}} = (2\mu_u \mathbb{Z}^m \cap U) \times A_{\mathcal{D}_{\tau}}(\Lambda (\kappa, \tau, M, N_d, \mu_d))$ $p \xrightarrow{(u,d)}_{\mathbb{Q}} q$, if $|x(\tau, p, u, d) q|_{\infty} \leq \eta$
- $X_{\mathbb{Q},m} = X_{\mathbb{Q}}$
- Y₀ = X
- H_{\odot} is the identity function





Construction of symbolic models

Consider the following vector $\mathbb{Q} = (\tau, \eta, \mu_u, N_d, \mu_d)$ of quantization parameters, where:

- sampling time τ
- state space quantization n
- μ_{u} control input space quantization
- N_d number of splines
- μ_d disturbance input space quantization



and define the transition system $T_{\mathbb{Q}}(\Sigma) = (X_{\mathbb{Q}}, X_{\mathbb{Q},0}, L_{\mathbb{Q}}, \longrightarrow_{\mathbb{Q}}, X_{\mathbb{Q},m}, Y_{\mathbb{Q}}, H_{\mathbb{Q}}),$ symbolic model where:

- $X_{\mathbb{Q}} = 2\eta \mathbb{Z}^n \cap X$
- $X_{\mathbb{O},0} = X_{\mathbb{O}}$

•
$$L_{\mathbb{Q}} = (2\mu_{u}\mathbb{Z}^{m} \cap U) \times A_{\mathcal{D}_{\tau}}(\Lambda(\kappa, \tau, M, N_{d}, \mu_{d}))$$

- $p \xrightarrow{(u,a)}_{\mathbb{Q}} q$, if $|x(\tau,p,u,d) q|_{\infty} \leq \eta$
- X_{Q,m}=X_Q
 Y_Q = X
- H_{\odot} is the identity function

Remark: L₀ can be effectively computed, hence the symbolic transition system $T_0(\Sigma)$ can be effectively constructed! 19/53

Theorem

Consider a nonlinear control system Σ and suppose that:

1. There exists a δ -ISS Lyapunov function for Σ , hence there exists $\lambda \in \mathbb{R}^+$ s.t. for any $x_1, x_2 \in \mathbb{R}^n$, any $u_1, u_2 \in U$, and any $d_1, d_2 \in D$

$$\frac{\partial \mathsf{V}}{\partial \mathsf{x}_1} f(\mathsf{x}_1,\mathsf{u}_1,\mathsf{d}_1) + \frac{\partial \mathsf{V}}{\partial \mathsf{x}_2} f(\mathsf{x}_2,\mathsf{u}_2,\mathsf{d}_2) \leq -\lambda \mathsf{V}(\mathsf{x}_1,\mathsf{x}_2) + \sigma_{\mathsf{u}}(|\mathsf{u}_1 - \mathsf{u}_2|) + \sigma_{\mathsf{d}}(|\mathsf{d}_1 - \mathsf{d}_2|).$$

2. There exists a K_{∞} function γ such that $V(x, x') \leq V(x, x'') + \gamma(|x' - x''|)$ for every $x, x', x'' \in X$.

3. The disturbance set D is radial and the disturbance functions are bounded $(|d|_{\infty} \leq M)$ and Lipschitz continuous with uniform Lipschitz constant κ .

Then for any desired precision $\varepsilon > 0$ and any quantization parameters in \mathbb{Q} s.t.

$$\frac{\max\{\sigma_{u}(\mu_{u}),\sigma_{d}(\Lambda(\kappa,\tau,M,N_{d},\mu_{d}))\}}{\lambda} + \frac{\gamma(\eta)}{1-e^{-\lambda\tau}} \leq \alpha_{1}(\varepsilon)$$

transition systems $\mathsf{T}_\tau(\Sigma)$ and $\mathsf{T}_\mathbb{Q}(\Sigma)$ are AeA-bisimilar



In this transition system, the input u₁ given at initial time can lead the system from the initial state 0 either to state 1 or to state 2.



In absence of a state measurement, you cannot distinguish state 1 from 2 at step 1. Further, you cannot distinguish state 4 from 5 and state 7 from 8 at step 2.



The dashed boxes are called **information sets**: open-loop control strategies cannot distinguish states within the red, blue and green boxes.



Assume now you need to fulfill a simple specification consisting of reaching a marked state. The sequence of open-loop inputs u1 (at time 0) and u1 (at time 1) solves the problem since it reaches the blue set for any disturbance realization.



Consider now the more complex case where state 5 is unmarked. Then it is readily seen that any open-loop control strategy cannot solve the problem robustly with respect to all the possible disturbance realizations.



Instead, assuming **state feedback**, it is possible to distinguish state 1 from 2 at step 1, and consequently, also all the states at step 2. Notice that now the information sets become singletons (full information).



Define $k(x)=u_1$ for x=1 and $k(x)=u_2$ if x=2. It is readily seen that the state-feedback control strategy setting u_1 at step 1, k(x(1)) at step 2, where x(1) is the state reached at step 1, solves the control problem robustly with respect to all the disturbance realizations.

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Pendulum subject to wind

$$\theta = \omega$$

$$\dot{\omega} = -\frac{g}{l}sin(\theta) - \frac{k}{m}\omega + \frac{1}{ml^2}u + d\cos(\theta)$$



- ω is the angular velocity of the point mass
- u is the applied torque (control input)
- d is the is the (unknown) horizontal acceleration (disturbance)
- g=9.8 is gravity acceleration
- I=0.5 is the length of the rod
- m=0.6 is the mass
- k=2 is the coefficient of rotational friction

Pendulum subject to wind

 \dot{o} _ .

$$\dot{\omega} = -\frac{g}{l}\sin(\theta) - \frac{k}{m}\omega + \frac{1}{ml^2}u + d\cos(\theta)$$

The control system is δ -ISS. We can build a A ϵ A symbolic model with the following choice of quantization parameters

 $\tau = 1, \quad \mu_x = \pi/2000, \quad \mu_u = 0.001, \quad \mu_d = 1.43 \cdot 10^{-4}, \quad N_d = 0, \quad \theta_d = 0.007$

Size of the resulting symbolic model: 159819 states, 1501 control inputs and 6366 disturbance inputs.

Control design problem: satisfy the following specification, independently from the disturbance signal realization:

• starting from
$$x_0 = (0,0)$$
, reach
 $\Omega_1 = \left[\frac{\pi}{8}, \frac{\pi}{4}\right] \times X_2;$

 stay in Ω₁ for a time duration between 2s and 4s;

• reach
$$\Omega_2 = \left[-\frac{\pi}{4}, -\frac{\pi}{8}\right] \times X_2;$$

- stay in Ω_2 for at most 3s;
- go back to Ω₁ and stay definitively in Ω₁.



Controller synthesis computed by using fixed-point algorithms

Size of the resulting symbolic controller: 716 integers

Computation time:

2681 s.



Application 1: Vehicle Platooning







Heavy Duty Vehicle Model equation

$$m\dot{v} = k_e T - F_{brake} - k_D(d)v^2 - k_{f_r} cos(\alpha) - k_g sin(\alpha)$$

- *m* is the mass
- *v* is the velocity
- T is the net engine torque



- d is the longitudinal distance from the vehicle ahead
- *α* is the road incline,
- k_e , k_{f_r} , k_g take into account vehicle engine, road friction and gravitational effects,
- $k_D(\cdot)$ is a least-square approximation of the air-drag coefficient.

Lecture mostly based on:

[Borri et al., Necsys13] A. Borri, D. V. Dimarogonas, K. H. Johansson, M. D. Di Benedetto, and G. Pola, Decentralized symbolic control of interconnected systems with application to vehicle platooning, Proceedings of NecSys 2013, Koblenz, Germany, pp. 285-292, 2013. 33/53

Symbolic control: a review

- The continuous system P is formally rewritten in the form of a transition system T_r(P) with an infinite number of states and inputs. As you know, this object cannot be built!
- By means of state and input discretization and time sampling, $T_{\tau}(P)$ can be turned into a symbolic (finite) model $T_{*}(P)$.





- The formalism of approximate simulation/bisimulation [Girard-Pappas (2007)] allows to relate the trajectories of the original continuous control system to the corresponding trajectories in the symbolic model, up to a given accuracy ε.
- Exogenous inputs (disturbances) cause the symbolic model to be nondeterministic.



- Control problems can be expressed in terms of approximate similarity games [Tabuada (2009)], with specifications expressed in the form of finite transition systems.
- Thanks to the concept of alternating approximate simulation (AɛA simulation) [Alur et al. (1998), Pola-Tabuada (2009)], the designed symbolic controllers are robust with respect to the non-determinism of the model.



Towards the decentralized symbolic control



Global Specification

- Safety (no collisions in the platoon)
- Refinement problem: minimize global fuel consumption

Main assumptions

- N=6 vehicles
- The *leader* vehicle may reduce his nominal speed due to road speed changes, obstacles... (modeled as disturbances)



Precision requirement $\varepsilon = 0.02 (1\%)$

Problem 1. Given а continuous plant P, a specification S, and a desired precision $\varepsilon > 0$, find a sampling time au , a parameter $\theta > 0$, a symbolic controller C and an A θ Asimulation relation \mathcal{R} from C to $T_{\tau}(P)$ s.t. the closed-loop system is ε -simulated by the specification, namely:

$$T_{\tau}(P) \times_{\theta}^{\mathcal{R}} C \leq_{\varepsilon} S$$



Control Design

- 1. Compute the symbolic model $T_*(P)$ of P
- 2. Compute the maximal subtransition system C* of T_{*}(P) such that:



 $C^* \preccurlyeq_{\mu_X} S$ (behavioral inclusion) $C^* \preccurlyeq_0^{alt} T_*(P)$ (robustness requirement)

Centralized Synthesis

Theorem 1. For any desired precision $\varepsilon > 0$, and any θ , μ_x , $\eta > 0$ s.t.

 $\mu_{x} \leq \overline{\alpha}^{-1}(\underline{\alpha}(\theta)) \leq \theta \leq \eta$ $\mu_{x} + \theta \leq \varepsilon$

the control problem 1 is solved with $C = C^*$ and with $\mathcal{R} = \mathcal{R}^*$, where \mathcal{R}^* is the maximal AOA-simulation relation from C^* to $T_*(P)$.



Drawback: high computational complexity (exponential with N)

Problem 2. Given a continuous plant P, in the form of serial interconnection of N plants P_i , a specification S, and a desired precision $\varepsilon > 0$, find τ , $\theta > 0$, some symbolic controllers C_i and some $A\theta A$ -simulation relations \mathcal{R}_i from C_i to $T_{\tau}(P_i)$ s.t. the closed-loop system is ε -simulated by the specification, namely:



$$\left(T_{\tau}(P_1) \times_{\theta}^{\mathcal{R}_1} C_1\right) || \dots || \left(T_{\tau}(P_N) \times_{\theta}^{\mathcal{R}_N} C_N\right) \leq_{\varepsilon} S$$

Control Design.

- 1. The specification S is decomposed into N local specifications S_i s.t.
- $S_1||S_2||...||S_N \leq_0 S$ 2. Compute the maximal $C_i^* \equiv T_*(P_i)$ s.t. $C_i^* \leq_{\mu_x} S_i$ and $C_i^* \leq_{\mathbf{0}} T_*(P_i)$, where $T_*(P_i)$ is the symbolic model of P_i .



Theorem 2. For any desired precision $\varepsilon > 0$, and any θ , μ_x , $\eta > 0$ s.t.

 $\mu_{x} \leq \min_{i} \overline{\alpha}_{i}^{-1}(\underline{\alpha}_{i}(\theta)) \leq \theta \leq \eta$ $\mu_{x} + \theta \leq \varepsilon$ the control problem 2 is solved with $C_{i} = C_{i}^{*}$ and with $\mathcal{R}_{i} = \mathcal{R}_{i}^{*}$, where \mathcal{R}_{i}^{*} is the maximal AOAsimulation relation from C_{i}^{*} to $T_{*}(P_{i})$, for all *i*.



Advantage: low complexity, in particular for identical plants

Local Specifications

- Safety (no collision with the vehicle ahead)
- Refinement problem: minimize local fuel consumption

Space Complexity (estimated)

Centralized approach: $4 \cdot 10^{28}$ states, $4 \cdot 10^{15}$ controls, 401 disturbances (intractable)

Decentralized approach : $1.6 \cdot 10^5$ states, 401 controls, 401 disturbances (tractable)



Design parameters $\theta = 0.01$ $\tau = 0.2 s$ $\mu_x = 0.005$ (satisfying Theorems 1-2)

Simulink implementation (by Luigi Rodorigo)



Simulations



Application 2: Symbolic adaptive cruise control

- An alternative approach to the platooning problem it to design Adaptive Cruise Control (ACC) systems independently on each vehicle.
- ACC can be modelled as a hybrid system, with two modes q=1 (no lead car) and q=2 (lead car), where the latter indicates the situation in which a lead car is present within the radar range. Parameter h denotes the distance from the lead car and v_L, a_L the leader velocity and acceleration, when present.

$$q = 1: \begin{bmatrix} m\dot{v} = F_w - f_0 - f_1 v - f_2 v^2 \\ h \equiv h^{max} \end{bmatrix}$$

$$R_{2,1} \bigwedge R_{1,2}$$

$$q = 2: \begin{bmatrix} m\dot{v} = F_w - f_0 - f_1 v - f_2 v^2 \\ \dot{h} = v_L - v \\ \dot{v}_L = a_L \end{bmatrix} \bigwedge R_{2,2}$$

More details in:

[Nilsson et al., CST2016] S. Coogan, M. Arcak, and C. Belta, P. Nilsson, O. Hussien, A. Balkan, Y. Chen, A. D. Ames, J. W. Grizzle, N. Ozay, H. Peng, and P. Tabuada, "Correct-by-construction adaptive cruise control: Two approaches", IEEE Transactions on Control Systems Technology, 24(4), 1294-1307, 2016.
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First define the time headway $\omega = \frac{h}{v}$. Requirements (coded in LTL):

- ACC operates in two modes: the set speed mode and the time gap mode.
- In set speed mode, a preset desired speed v^{des} eventually needs to be maintained.
- 3. In time gap mode, a desired time headway ω^{des} to the lead vehicle eventually needs to be maintained, and the time headway needs to satisfy $\omega \ge \omega^{min}$ at all times.
- 4. The system is in set speed mode if $h \ge v^{des} \omega^{des}$, otherwise it is in time gap mode.
- 5. Independently of the mode, the input constraint $-0.3mg \le F_w \le 0.2mg$ needs to be satisfied at all times.

Target sets and specification mode sets

 $M_1 = \{(v, h, F_w): v^{des} \le h/\omega^{des}\} \text{ set speed}$ $M_2 = \{(v, h, F_w): v^{des} > h/\omega^{des}\} \text{ time gap}$

 M_1 and M_2 define the set speed and the time gap modes.

 G_1 and G_2 expresses requirements 2 which have to be EVENTUALLY satisfied in set speed mode and time gap mode, respectively.

 S_1, S_2, S_U are safe sets which need to be ALWAYS satisfied.

These atomic propositions allow to encode more complex specifications.



Numerical simulation and physical implementation





Hardware testbed on which the two controllers were implemented

Application 3: Symbolic robot motion control



More details in:

[Belta et al., RAM2007] C. Belta, A. Bicchi, M. Egerstedt, E. Frazzoli, E. Klavins, and G. J. Pappas, "Symbolic planning and control of robot motion", IEEE Robotics & Automation Magazine, 14(1), 61-70, 2007.

Application 4: Control of Traffic Flow



More details in:

[Coogan et al., CSM2017] S. Coogan, M. Arcak, and C. Belta, "Formal Methods for Control of Traffic Flow", IEEE Control Systems Magazine, April 2017.